

#### STRUCTURAL PEST CONTROL BOARD-ADMINISTRATION UNIT 2005 EVERGREEN STREET, STE. 1500 SACRAMENTO, CA 95815

P 916-561-8700 | F 916-263-2469 | <u>www.pestboard.ca.gov</u>



#### BOARD MEETING NOTICE AND AGENDA

October 16, 2018 12:00 P.M. October 17, 2018 9:00 A.M.

**Department of Consumer Affairs** Hearing Room 2005 Evergreen Street Sacramento, CA 95815

Contact Person: Susan Saylor 916-561-8700

#### **AGENDA**

#### Tuesday, October 16, 2018 – 12:00 P.M.

- I. Roll Call / Establishment of Quorum
- II. Flag Salute / Pledge of Allegiance
- III. Public Comment for Items Not on the Agenda The Board may not discuss or take action on any matter raised during this public comment section that is not included on this agenda, except to decide whether to place the matter on the agenda of a future meeting. [Government Code Sections 11125, 11125.7(a)]
- IV. Petition for Reinstatement Gary Castro Gaviola - OPR 9978, Branch 2
- V. Petition for Reinstatement George Maher Mikhael - OPR 11945, Branch 2
- VI. Petition for Reinstatement Hector Olavarria Jr. - OPR 10969, Branch 2

#### **Closed Session**

VII. Pursuant to Subdivision (c)(3) of Section 11126 of the Government Code the Board Will Meet in Closed Session to Consider Reinstatements, Proposed Disciplinary Actions, and Stipulated Settlements

#### Reconvene in Open Session

Recess until 9:00 a.m. on Wednesday October 17, 2018

#### Wednesday, October 17, 2018 – 9:00 A.M.

VIII. Roll Call / Establishment of Quorum

- IX. Flag Salute / Pledge of Allegiance
- X. Public Comment for Items Not on the Agenda

The Board may not discuss or take action on any matter raised during this public comment section that is not included on this agenda, except to decide whether to place the matter on the agenda of a future meeting. [Government Code Sections 11125, 11125.7(a)

- XI. Review and Approval of Minutes of the July 26, 2018 Board Meeting
- XII. Department of Consumer Affairs Update
- XIII. Presentation by Office of Professional Examination Services (OPES) Regarding Board Licensing Examinations
- XIV. Discussion and Possible Action Regarding Board Licensing Examinations
- XV. Executive Officer's Report
  - a. Licensing, Enforcement, Examination and WDO Statistics
  - b. Survey Results
  - c. Examination Development
- XVI. Annual Review and Possible Amendment of Board Policies and Procedures
- XVII. Update and Possible Action on the Status of the Research Proposals Selected for Funding at the July 26, 2018 Board Meeting
- XVIII. Annual Review and Possible Action Regarding the Board's Research Fund
- XIX. Regulations Update and Possible Action
  - a. Title 16, California Code of Regulations, Section 1997 WDO Inspection and Completion Activity Fee
- XX. Legislation Update and Possible Action
  - a. Senate Bill 1481 (Hill) Structural Pest Control
  - b. Assembly Bill 2138 (Chiu) Denial of Application Criminal Conviction
  - c. Senate Bill 721 (Hill) Building Standards Decks and Balconies
  - d. Senate Bill 984 (Skinner) State Boards Representation
- XXI. Future Agenda Items
- XXII. Board Calendar
- XXIII. Annual Election of Board President and Vice President

#### XXIV. Adjournment

The meeting may be cancelled or changed without notice. For verification, please check the Board's website at <a href="https://www.pestboard.ca.gov">www.pestboard.ca.gov</a> or call 916-561-8700. Action may be taken on any item on the agenda. Any item may be taken out of order to accommodate speakers and/or to maintain a quorum. All times indicated are approximate. Meetings of the Structural Pest Control Board are open to the public except when specifically noticed otherwise in accordance with the Open Meeting Act. The public may take appropriate opportunities to comment on any issue before the Board at the time the item is heard, but the President may, at his discretion, apportion available time among those who wish to speak. The public may comment on issues not on the agenda, but Board Members cannot discuss any issue that is not listed on the agenda. If you are presenting information to the Board, please provide 13 copies of your testimony for the Board Members and staff. Copying equipment is not available at the meeting location.

The meeting is accessible to the physically disabled. A person who needs a disability-related accommodation or modification in order to participate in the meeting may make a request by contacting the Structural Pest Control Board at (916) 561-8700 or email <a href="mailto:pestboard@dca.ca.gov">pestboard@dca.ca.gov</a> or send a written request to the Structural Pest Control Board, 2005 Evergreen Street, Suite 1500, Sacramento, CA 95815. Providing your request at least five (5) business days before the meeting will help to ensure availability of the requested accommodation.

This agenda can be found on the Structural Pest Control Board's Website at: www.pestboard.ca.gov

### MINUTES OF THE MEETING OF THE STRUCTURAL PEST CONTROL BOARD

July 26, 2018

The meeting was held at the Doubletree by Hilton Claremont, Sycamore Room, 555 W. Foothill Boulevard, Claremont, California

#### **Board Members Present:**

Dave Tamayo, Vice President
Mike Duran
Curtis Good
Servando Ornelas

#### **Board Members Absent:**

Darren Van Steenwyk, President Ronna Brand

#### **Board Staff Present:**

Susan Saylor, Executive Officer
David Skelton, Administrative Analyst

#### **Departmental Staff Present:**

Sabina Knight, Legal Counsel

#### **ROLL CALL / ESTABLISHMENT OF QUORUM**

Mr. Tamayo called the meeting to order at 9:02 A.M. and Ms. Saylor called roll.

Board members Tamayo, Duran, Good, and Ornelas were present.

Board members Van Steenwyk, and Brand were absent.

A quorum of the Board was established.

#### FLAG SALUTE / PLEDGE OF ALLEGIANCE

Mr. Tamayo lead everyone in a flag salute and recitation of the Pledge of Allegiance.

#### PUBLIC COMMENT FOR ITEMS NOT ON THE AGENDA

Chris Reardon, Pest Control Operators of California (PCOC), stated that the passing rate for the Applicator examination is a great concern for PCOC's membership and they are looking forward to greater participation in the examination development workshops and to discussing the issue with the Office of Professional Examination Services (OPES) at the October Board meeting. Mr. Reardon further stated that the length of the licensing process has become an issue and that PCOC would like to do what it can to help identify and alleviate the causes of the delays.

John Burnard, Compass Pest Management, stated that due to the difficulty of the Applicator examination it is very difficult for small businesses to cultivate and train entry level employees. Mr. Burnard encouraged the Board to help candidates be better prepared for the Applicator examination through the facilitation of vocational training or the production of a study guide.

Cliff Utley, Cliff's Pest Control, stated that the Applicator examination has become too difficult and that as an exam for an entry level license its focus should be narrowed. Mr. Utley stated that he believed the passing rate for the Applicator examination should be in the 75% range.

Siavash Taravati, University of California Integrated Pest Management (UCIPM), stated that UCIPM is ready and willing to assist in the creation of a study guide for California structural pest control licensing examinations.

Brian McQueen, Target Specialty Products, stated that companies are having difficulty hiring quality, entry level people because of the difficulty of the Applicator examination.

Ms. Saylor stated that the Applicator examination is on the agenda for the October 2018 meeting and that OPES will be present to discuss the topic in both open, and closed sessions.

### <u>PETITION FOR REINSTATEMENT</u> IRINI AGAPITOS BERZINSKI – FR 38887 – BRANCH 2

Administrative Law Judge David B. Rosenman sat with the Board to hear the Petition for Reinstatement for Irini Agapitos Berzinski, Field Representative License Number 38887. Ms. Berzinski was informed that she would be notified by mail of the Board's decision.

### <u>PETITION FOR MODIFICATION / TERMINATION OF PROBATION</u> JAMES BERND MARTY AGPALASIN – FR 51616 BRANCH 2 – RA 58223 BRANCH 3

Administrative Law Judge David B. Rosenman sat with the Board to hear the Petition for Modification / Termination of Probation for James Bernd Marty Agpalasin, Field Representative License Number 51616, Applicator License Number 58223. Mr. Agpalasin was informed that he would be notified by mail of the Board's decision.

#### **CLOSED SESSION**

Pursuant to subdivision (c) (3) of section 11126 of the Government Code, the Board met in closed session to consider reinstatements, modifications, proposed disciplinary actions, and stipulated settlements.

#### Return to Open Session

### REVIEW AND APPROVAL OF THE APRIL 18 & 19, 2018 BOARD MEETING MINUTES AND THE MAY 22, 2018 TELECONFERENCE BOARD MEETING MINUTES

Mr. Duran moved and Mr. Good seconded to approve the Minutes of the April 18 &19, 2018 Board Meeting and the May 22, 2018 Teleconference Board Meeting.

Passed unanimously. (AYES: Tamayo, Duran, Good, Ornelas. NOES: None. ABSTENTIONS: None.)

#### **DEPARTMENT OF CONSUMER AFFAIRS UPDATE**

Mr. Tamayo stated that the Department of Consumer Affairs (DCA) submitted an update in the form of a letter (included in meeting materials) and asked that the letter be included in the formal record of the meeting.

### PRESENTATION AND POSSIBLE APPROVAL OF RESEARCH PROPOSALS RECOMMENDED BY THE RESEARCH ADVISORY PANEL

Ms. Knight stated that the meeting materials included a summary of all the research proposals ranked in the order the Research Advisory Panel scored them at their June 19, 2018 meeting. Ms. Knight further stated that it is up to the Board's discretion which proposals are funded.

Mr. Good thanked the researchers for their submissions and stated that the proposals have the potential to greatly benefit consumers in California. Mr. Good also thanked the industry for funding the research.

Ms. Saylor stated that the Research Fund was projected to contain \$1,035,000 as of June 30, 2018 and that if the Board chose to fund the 5 highest ranking proposals the fund would be almost entirely depleted.

Mr. Good stated that the ranking of the research proposals represented the consensus of the Research Advisory Panel.

Mr. Good moved and Mr. Duran seconded to award funding to the 5 highest ranking research proposals, as scored by the Research Advisory Panel, and for the Executive Officer to move forward with the process of creating contracts.

Passed unanimously. (AYES: Tamayo, Duran, Good, Ornelas. NOES: None. ABSTENTIONS: None.)

Bob Gordon, Gordon Termite Control, stated that yellowjacket research proposal seemed to have more of an agricultural focus than a structural one.

Mr. Good stated that the Research Advisory Panel felt the yellowjacket research proposal could potentially yield results that will be very beneficial to the public.

Ms. Saylor stated that approximately \$120,000 is deposited into the research fund annually.

### DISCUSSION AND POSSIBLE ACTION ON SPCB RESEARCH FUND DISBURSEMENT SCHEDULE

Mr. Tamayo stated that the Board received a letter from researchers asking for the Board to consider implementing a more predictable schedule for the disbursement of research funds.

Ms. Saylor stated that the plan going forward is to review the research fund balance annually at the October Board meeting.

Mr. Tamayo asked that staff develop procedures to maintain readiness to accomplish the necessary tasks associated with the Research Advisory Panel and the disbursement of research funds. Mr. Tamayo asked staff to report back at the October 2018 Board Meeting.

#### **EXECUTIVE OFFICER'S REPORT**

Ms. Saylor reported to the Board on licensing, enforcement, examination, and wood destroying organism statistics, survey results, and examination development.

Ms. Saylor stated that there are approximately 200 licensees left in the 2018 renewal cycle who must complete Livescan to comply with the provisions of California Code of Regulations (CCR), Title 16, section 1960.

Ms. Saylor stated that Board specialists Fred Bartley and Ed Ackerman have retired and the plan to fill their positions is to hire and train two specialists simultaneously.

Mr. Good stated that he received a phone call from James Ogle of Lloyd Pest Control praising Ms. Saylor and her staff for processing applications in a timely manner.

#### REGULATIONS UPDATE AND POSSIBLE ACTION

Ms. Saylor updated the Board on the proposed regulatory amendment to CCR, Tile 16, section 1997 to increase the Wood Destroying Organism (WDO) inspection reporting fee from \$2.50 to \$3.00 per property address reported. Ms. Saylor stated that the proposal is currently undergoing review at DCA with a goal of holding the public hearing at the January 2019 Board meeting.

#### **LEGISLATION UPDATE AND POSSIBLE ACTION**

#### Assembly Bill 2138 (Chiu)(Low)

Ms. Saylor stated that this bill has been amended since the Board voted to oppose it and that the amendments improved the Board's ability to protect consumers.

#### Senate Bill 721 (Hill)

Mr. Reardon stated that as an organization PCOC did not want Board licensees to be included in this bill because they are not qualified to ascertain the structural integrity of decks and balconies. Mr. Reardon stated that Board licensees are licensed to identify pest infestations but Senate Bill 721 does not include language that provides for them to act within the scope of their license.

#### Senate Bill 984 (Skinner)

Ms. Saylor stated that Senate Bill 984 would require state boards, beginning on January 1, 2024, to have a minimum of 40% of its members be individuals who self-identify as women.

#### Senate Bill 1481 (Hill)

Ms. Saylor stated that Senate Bill 1481 is the Board's sunset bill and that she expected it to be signed into law by the Governor. Ms. Saylor further stated that Senate Bill 1481 includes the last of the Act Review Committee's recommended amendments.

Mr. Reardon congratulated everyone involved in Senate Bill 1481 and commented on its importance to the industry.

Ms. Saylor thanked the members of the Act Review Committee for all their hard work and dedication.

Mr. Tamayo thanked all the members of the Act Review Committee for their hard work and commitment in modernizing the Structural Pest Control Act.

#### SPCB STRATEGIC PLANNING UPDATE

Ms. Saylor stated that the Board's last strategic plan covered 2015-2018 and she would be reaching out to both DCA and the Board members to schedule the creation of a new strategic plan in 2019.

### <u>UPDATE ON IMPLEMENTATION OF DEPARTMENT OF CONSUMER AFFAIRS CAS CREDIT</u> <u>CARD PAYMENT</u>

Ms. Saylor stated that the Board declined to participate in the CAS credit card payment pilot program when it became clear that it only applied to license renewals and individuals renewing their license would still need to mail hard copies of their renewal in to verify their continuing

education hours. Ms. Saylor further stated that credit card payment will be an option when the Board has a new information technology platform in place.

#### <u>DISCUSSION AND POSSIBLE ACTION ON SPCB RENEWAL PROCESS</u>

Ms. Saylor stated that some consideration has been given to changing the Board's license renewal form so individuals can list the courses they have taken rather than certify the number of hours under penalty of perjury. Ms. Saylor stated that rather than changing the renewal form now, the change will be incorporated into the information technology platform that is implemented.

#### **FUTURE AGENDA ITEMS**

The following was identified as a future agenda item –

Applicator examination development and passing rate.

#### **BOARD CALENDAR**

The next four meetings of the Board were scheduled for -

October 16 & 17, 2018 in Sacramento

January 15 & 16, 2019 in Claremont

April 17 & 18, 2019 in Sacramento

July 23 & 24, 2019 in Claremont

### PRESENTATION AND POSSIBLE APPROVAL OF RESEARCH PROPOSALS RECOMMENDED BY THE RESEARCH ADVISORY PANEL (CONT)

Mr. Choe stated that based on research fund budget projections for 2018 / 2019 there was a \$6,000 shortfall to fund the top 6 proposals rather than the top 5. Mr. Choe offered to lower the budget for the 6<sup>th</sup> proposal by \$6,000 so it would fit within the budget.

Ms. Knight advised the Board not to allow changes to be made to the research proposal budgets outside of the formal request for proposal (RFP) process. Ms. Knight stated that once the contracts are in place for the proposals that were selected there will be an opportunity for the Board to see the balance of the research fund and decide if another proposal can be selected for funding.

#### <u>ADJOURNMENT</u>

The meeting was adjourned at 12:59 P.M.		
Darren Van Steenwyk, President	Date	



### **Overview of Examination Development**

Heidi Lincer, Ph.D., Chief
Office of Professional Examination Services

Tracy Montez, Ph.D., Division Chief Programs and Policy Review

Structural Pest Control Board October 17, 2018





### Regulations, Standards, and Guidelines

- Business and Professions Code Section 139
- Principles for the Validation and Use of Personnel Selection Procedures (Society of Industrial and Organizational Psychology)
- Standards for Educational and Psychological Testing (American Educational Research Association, American Psychological Association, National Council on Measurement in Education)



## Requirements for developing a licensure examination

# Occupational Analysis

- A detailed study of the scope of the job or profession
- Basis for content validity of the examination
- Updated approximately every 5-7 years

# **Examination Development**

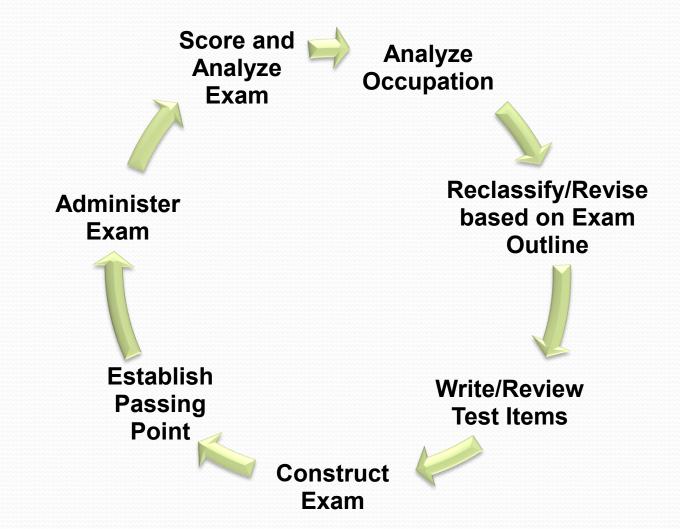
- Link between test content and job demonstrated through the use of Subject Matter Experts
- Test questions written based on examination outline from the occupational analysis

### **Passing Score**

- Criterion-referenced (absolute standard) not dependent upon performance of candidates
- Subject Matter Experts identify criterion for safe, competent, entry-level practice



### **Cycle of Examination Validation**





# How do we determine what is tested?

- Conduct Subject Matter Expert (SME) interviews and focus groups
- Develop task and knowledge statements
- Develop survey
- Analyze survey data
- Review survey results with SMEs
- Link task and knowledge statements
- Create Examination Outline



### **Applicator Examination Outline**

Content Domain	Exam Weight
1. Structure inspection and pest location	17%
2. Treatment method determination	22%
3. Site preparation and treatment application	31%
4. Maintenance of treatment effectiveness	10%
5. Safety procedures for handling pesticides and materials	20%



### **How are SPCB examinations developed?**

Item Writing

Item Review

**Examination Construction** 

3



### **Item Writing**

- SMEs selected by the Board, based on criteria provided by OPES
- >SMEs receive training
- > Items linked to examination outline
- > Items linked to reference source
- > Items reviewed by testing specialist and editor



### **Item Review**

- SMEs selected by the Board, based on criteria provided by OPES
- SMEs receive training
- SMEs review items as a group:
  - Clearly worded
  - No "trick" questions
  - > Relevant to practice
- Verify key, reference, task and knowledge



### **Examination Construction**

- Testing specialist selects potential items for exam
- > Items selected based on item statistics
- >SMEs review items for:
  - >accuracy
  - duplicates
  - > enemies



# How is item performance monitored?

How many people selected the correct answer?

Did the high-scoring candidates select the correct answer?

Did the low-scoring candidates select the response alternatives?



# How is examination performance monitored?

How reliable is the test at identifying those candidates passing versus those failing?

Is the test measuring what licensees need to know to practice safely and competently?



### Roles and responsibilities of OPES

- Provide trained and experienced testing specialists
- Maintain an independent and objective perspective
- Apply professional guidelines and technical standards
- Recommend psychometrically sound and legally defensible actions and decisions



### Roles and responsibilities of the Board

- Monitor and report changes:
  - >In the profession
  - >In laws and regulations
- Recruit and coordinate SME participation
- Comply with B&P 139 requirements



### Occupational Analysis (OA) Status

Examination	Date of Last OA
Applicator	2014
Field Representative Branch 1	2008
Operator Branch 1	2008
Field Representative Branch 2	2015
Operator Branch 2	2017
Field Representative Branch 3	2017
Operator Branch 3	2017



### Roles and responsibilities of the licensees

- Serve as SMEs
- Complete occupational analysis surveys
- Provide training to applicants
- Encourage applicants to study for exam
- Communicate concerns to the Board



### Roles and responsibilities of applicants

- > Read Candidate Handbook
- Review examination outline
- Review reference list identify weak areas
- >Study!!!
- Provide feedback during exam



### Response to Industry Concerns

Reviewing references

- Updating candidate handbook
- Reviewing comments with SMEs
- Ensuring examination items are written in plain language



### Response to Industry Concerns (continued)

- Post-exam survey at testing sites
- Collect address changes at testing sites
- New occupational analysis for Applicator
- Criterion-referenced passing score



# Questions? Thank you.

CTATICATION AND ALICATION AND A A A A A A A A A A A A A A A A A				FISCAL YEAR		
STATISTICS FOR AUGUST 2018 Page 1 of 2	2018/2019		2017/2018			
EXAMINATION	Monthly	Year To Date	Monthly	Year To Date		
Field Representatives Scheduled	559	1061	563	930		
Field Representatives Examined	375	802	348	744		
Field Representatives Passed	161	348	131	332		
Field Representatives Failed	214	454	217	412		
Operators Scheduled	62	97	40	74		
Operators Examined	39	81	39	65		
Operators Passed	17	31	25	40		
Operators Failed	22	50	14	25		
Applicators Scheduled	450	827	505	857		
Applicators Examined	318	648	383	632		
Applicators Passed	114	249	135	247		
Applicators Failed	204	399	248	385		
Field Representatives Passing Rate	43%	43%	38%	45%		
Operator Passing Rate	44%	38%	64%	62%		
Applicators Passing Rate	36%	38%	35%	39%		
LICENSING						
Field Representative Licenses Issued	137	311	173	350		
Operator Licenses Issued	23	31	14	33		
Company Registrations Issued	25	44	14	40		
Branch Office Registrations Issued	13	13	3	5		
Change of Registered Company Officers	5	7	1			
Change Of Qualifying Manager	4	13	5	14		
Applicator Licenses Issued	145	283	138	262		
Duplicate Licenses Issued	173	258	79	145		
Upgrade Present License	39		28	57		
Change of Status Processed	33	56		69		
Address Change		536		288		
Address Change (Principal Office)	275		<del></del>			
Address Change (Franch Office)  Address Change (Branch Office)	4 <u>5</u>	75 3	15 0	40		
Transfer of Employment Processed	279	397	299	577		
Change of Name				8		
	$\frac{2}{3}$	3	5	1		
Change of Registered Company Name License Histories Prepared						
Down Grade Present License	15 69	30		33		
Down Grade Fresent License	09	138	88	148		
LICENSES/REGISTRATIONS IN EFFECT						
Field Representative	·	13,267		12,549		
Operator		4,243		4,195		
Company Registration		3,140		3,026		
Branch Office		446		437		
Licensed Applicator		7,693		7,965		
LICENSES/REGISTRATIONS ON PROBATION						
Companies		24		18		
Licensees	_	92		83		

STRUCTURAL PEST CONTROL BOARD STATISTICS FOR AUGUST 2018 Page 2 of 2	FISCAL YEAR 2018/2019		FISCAL YEAR 2017/2018		
LICENSES RENEWED	Monthly	Year To Date	Monthly	Year To Date	
Operator	23	441	21	290	
Field Representative	70	1048	38	551	
Applicator	71	476	39	282	
LICENSES/ REGISTRATIONS CANCELED					
Operator	4	6	3		
Field Representative	17	23	8	22	
Company Registration	21	31	31	49	
Branch Office	4	4	2	3	
Applicator	15	28	2	4	
LICENSES DENIED					
Licenses	2	6	3	5	
INVESTIGATIVE FINES PROCESSED		· · · · · · · · · · · · · · · · · · ·		· · · · · · · · · · · · · · · · · · ·	
Fines Processed	\$8,530	\$14,575	\$0	\$0	
Penalty Assessment	\$0		\$0	\$0	
Pesticide Fines	\$15,365	\$31,765	\$13,000	\$24,330	
STAMPS SOLD	·				
Pesticide	5,850	12,530	7,600	12,460	
SEARCHES MADE					
Public	90	148	83	170	
Complaints	10	21	18	28	
BOND & INSURANCE					
Bonds Processed	21	37	35	70	
Insurance Processed	215		220	500	
Restoration Bonds Processed	0		0	2	
Suspension Orders	53	. 78	36	57	
Cancellations Processed	43	93	74	119	
Change of Bond/Insurance	106	158	71	142	
CONTINUING EDUCATION EXAMS					
Field Representative Examined	0	0	0	0	
Field Representative Passed	0	0	0	0	
Field Representative Failed	0	0	0	0	
Operator Examined		0	0	0	
Operator Passed	C	4	+		
Operator Failed	· C		<del></del>	<del> </del>	
Applicator Examined	(	0	0	0	
Applicator Passed				<del></del>	
Applicator Failed			+		

### **WDO ACTIVITIES FILED**

	2014/15	2015/16	2016/17	2017/18	2018/19	MO. AVG
July	122,803	121,639	111,086	124,000	117,000	117,984
August	112,400	112,511	121,000	128,400	128,000	114,506
September	116,100	115,977	119,089	119,000	110,445	116,710
October	123,250	123,409	125,804	124,100		122,164
November	94,750	100,779	118,121	117,000		104,018
December	95,373	105,326	106,000	96,100		99,353
January	88,247	83,209	96,000	94,900		91,038
February	97,884	97,100	95,000	96,900		95,412
March	124,448	122,261	127,300	115,000		119,755
April	131,292	128,201	122,120	115,000		125,221
May	116,578	123,028	132,900	123,000		120,534
June	124,648	131,954	135,000	127,000	4	125,138
FY Total	1,347,773	1,365,394	1,409,420	1,380,400	355,445	1,351,834
AVG PER MO.	112,314	113,783	117,452	115,033	118,482	

#### LICENSING UNIT SURVEY RESULTS

October 16-17, 2018 – SPCB Meeting July 10, 2018 – October 3, 2018

Response cards are sent to licensees, registered companies, and applicants receiving the following services: Licensure, Renewal of License, Upgrade/Downgrade License, Change of Qualifying Manager, Bond/Insurance, Company Registration, Transfer of Employment, Change of Address, and Examination. One hundred forty nine survey cards were mailed during this reporting period. Eleven responses were received.

	Question	Yes	No	N/A
1	Was staff courteous?	100%	0%	0%
2	Did staff understand your question?	91%	0%	9%
3	Did staff clearly answer your question?	91%	0%	9%
4	Did staff promptly return your telephone call?	45%	10%	45%
5	Did staff efficiently and promptly handle your transaction?	82%	9%	9%
6	How long did it take to complete its action on your file?* (Average) 33.5 days		days	

<sup>\*</sup>There were 8 responses to question 6.

Company Registration: 31 days average (3 responses)

**Operator License:** 54 days average (3 responses)

Field Representative License: 14 days average (1 response)

Applicator License: N/A (0 responses)

Transfer of Employment: N/A (0 responses)

Change of Address: N/A (0 responses)

Bond/Insurance: N/A (0 responses)

Change of Qualifying Manager: N/A (0 responses)

**Examination:** 1 day average (1 response)

#### Comments:

- They were all very helpful when I reached out.
- Thank you.

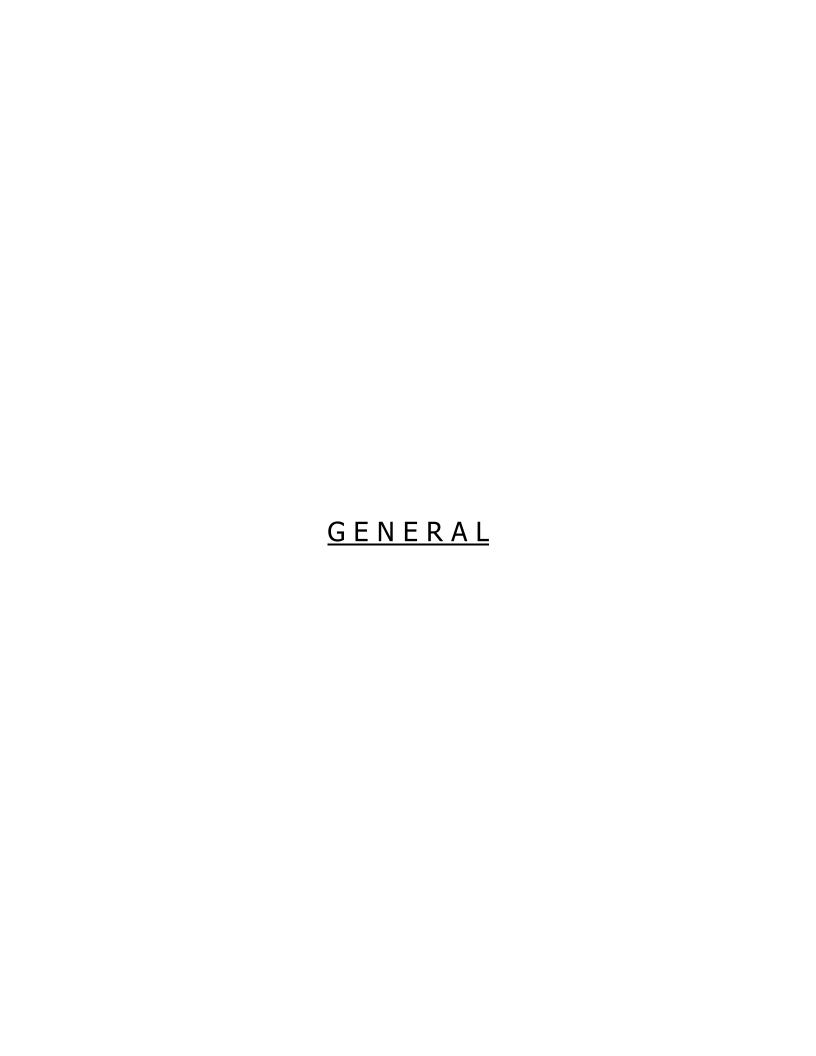
- She was so patient in answering my questions so I could understand them. Thanks so much!
- Some of my paperwork was incorrect or missing some info.
- Good experience
- Stop putting vague incomplete questions in exams.
- Voicemail is always full. I had to email to get response on why I hadn't received my license. Apparently problem with my form, but I had to call to find out. Board never notified me that it couldn't be processed.

# STRUCTURAL PEST CONTROL BOARD PROCEDURES

(Reference to Registrar and Deputy/Assistant Registrar is Synonymous)

# **GENERAL**

G-1.	Review of Procedures	1
G-2.	Travel	2
G-3.	Board Committees	3
G-4.	Election Of Officers	4
G-5.	Board Meeting Minutes	5
G-6.	Guidelines for Access to Public Records	6–8
G-7.	Review of Strategic Plan	9
G-8.	Duties of the President & Vice President	10–11
G-9.	Mail Votes	12
LICENSING & E	XAMINATIONS	
L-1.	Unauthorized Assistance	13
L-2.	Postponement of Examination	14
L-3.	Fees	
L-4.	Applicator Examination	16–17
L-5.	Incomplete Examination Applications	18
L-6.	Continuing Education Exemptions for Armed	
	Forces Personnel	19
ENFORCEMENT		
E-1.	Complaint Process	20–21
E-2.	Inspection Process	
E-3.	Administrative Hearing Process	
E-4.	Stipulated Agreements	27–30
E-5.	Complaint/Disciplinary Disclosures	
E-6.	Accepting A Complaint By A Registered Company	
	Against A Licensed Employee	33
E-7.	Board Member Assistance In Complaint Investigation	34
E-8.	Board Review of Closed Complaints	
E-9.	False Advertising/Unfair Competition	
E-10.	Complaint Disclosure Policy	37–38
E-11.	Complaint Disclosure Procedures for Staff	39–40



SUBJECT: REVIEW OF PROCEDURES

PURPOSE: To assure procedures are current

# **POLICY**

A review of Board Procedures shall be made at the annual meeting.

Reference: Section 108, Business and Professions Code

History: Adopted 4/20/79

Amended 6/23/00

SUBJECT: TRAVEL

PURPOSE: To establish a standard procedure for approval of Board Member and advisory

committee member travel

### **POLICY**

# **Board Member**

Members of the Board are to receive prior approval from the President of the Board and immediately submit notice thereof to the Registrar before attending any meetings, other than Board meetings and Board committee meetings, at state expense.

# **Advisory Committee Member**

Advisory committee members must receive prior approval from the President of the Board regarding expenditures necessary to carry out their duties at state expense. Advisory committee members are required to take the lowest cost transportation and coordinate their travel to minimize expense.

Reference: Sections 103 and 8526, Business and Professions Code

History: Adopted 4/20/79

Amended 10/22/93

SUBJECT: BOARD COMMITTEES

PURPOSE: To establish guidelines for board committees

# **POLICY**

Technical Advisory Committee members' terms expire when the appointing president's term expires.

Ad hoc committees will be established by the Board as needed. Members and the chairperson will be appointed by the President.

No action can be taken unless a quorum of a committee is present. A majority of the members shall constitute a quorum.

Reference: Sections 22, and 477, Business and Professions Code

History: Adopted 2/19/88

Amended 11/6/92 Amended 10/22/93 Amended 1/10/03 Amended 7/18/03 Amended 1/15/05 Amended 10/16/14 SUBJECT: ELECTION OF OFFICERS

PURPOSE: Identify when elections are held and to assure equal representation

# **POLICY**

Elections for the offices of president and vice president shall be conducted at the October board meeting. President and vice president shall assume duties at the board meeting following the annual October meeting. At least one of the offices of president and vice president must be held by a public member.

Reference: Sections 8521 and 8522, Business and Professions Code

History: Adopted 2/19/88

Repealed 10/12/90 Adopted 10/21/94 Amended 1/10/03 Amended 10/20/06

SUBJECT: BOARD MEETING MINUTES

PURPOSE: To assure board meeting minutes are completed promptly.

# **POLICY**

Draft minutes of Structural Pest Control Board Meetings will be completed and distributed to board members within 30 days after a board meeting.

Minutes of the Structural Pest Control Board Meetings will be distributed to individuals on the mailing list within 10 days after approval by the Board.

Reference: 8531.5

History: Adopted 10/12/90

Amended 10/22/93 Amended 10/4/96 Amended 11/18/08 SUBJECT: GUIDELINES FOR ACCESS TO PUBLIC RECORDS

PURPOSE: To establish procedures to be followed when making public records available.

### **POLICY**

Public records in the physical custody of the Structural Pest Control Board that are not exempt from disclosure will be made available for inspection or copying as follows:

- 1. Any person may review public records of the Board during weekdays and hours that the office is regularly open for business. Public records will be available for inspection only at the office or location where they are regularly and routinely maintained.
- 2. Requests for inspection or copying of public records:
  - a) should be addressed to, or directed to, the board.
- 3. The board will provide the following to assist a member of the public to make a focused and effective request that reasonably describes identifiable records or records to the extent it is reasonable under the circumstances:
  - a) Assist the member of the public to identify records and information that are responsive to the request or to the purpose of the request, if stated.
  - b) Describe the information technology and physical location in which the records exist.
  - c) Provide suggestions for overcoming any practical basis for denying access to the records or information sought.
- 4. The requestor will be notified in ten (10) days whether the board has disclosable public records. Where unusual circumstances exist as specific in Government Code section 6253(c), the agency may, by written notice to the requester, extend the time for response not to exceed fourteen (14) additional days.
- 5. If a request is made for a record that is stored in an electronic format, the board will comply to the extent required under Government Code Section 6253.9.
- 6. The board may refuse to disclose any records that are exempt from disclosure under the Public Records Act (PRA).

- 7. Any denials of PRA requests for consumer complaints shall be subject to Legal Office review prior to responding to the requestor.
- 8. Functions of the board will not be suspended to permit, and public records will not be made available for, inspection during periods in which such records are reasonably required by board personnel in the performance of their duties. Special arrangements shall be made in advance for the inspection or copying of voluminous records.
- 9. Public records in the possession of the board may be inspected only in the presence of board personnel, except in those cases where the executive officer or his or her designee, determines otherwise. Physical inspection of such records will be permitted at places within the board office as determined by the executive officer.
- 10. The board will provide copies of any requested public records not exempt from disclosure upon payment of the following fees as authorized by Business and Professions Code section 161:
  - Requested public records will be produced at a charge of ten (10) cents per page
    plus the actual costs of the staff time for retrieving and duplicating the
    document(s). The cost of staff time will be computed in accordance with the
    guidelines contained in Section 8740 of the State Administrative Manual. However,
    these fees may be waived if the costs of retrieval and duplication are less than the
    cost of processing the payment.
  - Requests by an individual for copies of records pertaining to that individual (e.g., licensee files, personnel files, etc.) will be provided to that individual at a cost of ten (10) cents per page. In these cases, the cost of staff time for retrieving and duplicating the document(s) shall not be charged (Civil Code sec. 1798.33). However, these fees may be waived if the costs of duplication are less than the cost of processing the payment.
  - Lists of licensees will be provided in electronic, paper, or mailing label form at a charge sufficient to recover the estimated costs of providing the data. Further information and a list of charges may be obtained by contacting the Office of Information Services, Public Information Unit at the following website address: www.dca.ca.gov/consumer/public\_info/ or call (916) 574-8150.
  - As provided in Business and Professions Code sec. 163, a charge of \$2.00 will be made to certify any document. This fee is in addition to copying costs.
- 11. A person who inspects records of the board shall not destroy, mutilate, deface, alter or remove any such records or records from the location designated for inspection, but shall physically return these in the same condition as when received, upon either the completion of the inspection or upon verbal request of departmental or agency personnel.
- 12. In the event that any portion of these guidelines may be deemed at any time to conflict with any law or regulation, the law or regulation shall prevail.

13. A copy of these guidelines shall be posted in a conspicuous public place in the office of the board. A copy of these guidelines shall be made available free of charge to any person requesting them.

Reference: Government Code, California Public Records Act

History: Adopted 9/5/91

Amended 10/4/96 Amended 10/11/02 Amended 10/12/07 Amended 4/28/11 Amended 10/5/11 Amended 10/10/2017

SUBJECT:	REVIEW OF STRATEGIC PLAN
PURPOSE:	To assure plan is being followed.
POLICY	
A review of th annual meetin	e status of action taken in compliance with the Strategic Plan shall be made at the g.
Reference:	
History:	Adopted 6/23/00

SUBJECT: DUTIES OF THE PRESIDENT AND VICE PRESIDENT

PURPOSE: Document duties for board members elected to office.

### **POLICY**

### President

- 1. The president shall chair all meetings of the board.
- 2. The president or any three members of the board may call meetings at any time.
- 3. If a member is unable to attend, he / she must contact the board president and the registrar / executive officer to advise them of his / her inability to attend.
- 4. The president will be guided by, but not bound by Robert's Rules of Order when conducting the meetings, except to the extent where it conflicts with state law (Bagley-Keene Open Meeting Act).
- 5. The president shall establish standing and special committees as the board deems necessary or appropriate. The president shall make the appointment of members to these committees.
- 6. The president will represent the board in all communications relating to any board action or policy. The president may designate another board member to represent him / her if necessary.
- 7. The president will approve or disapprove travel by members of the board, not including regularly scheduled board meetings, such approval not to be unreasonably withheld.
- 8. The president shall have the responsibilities usually vested in or customarily incident to the office of president and otherwise prescribed by law.
- 9. The president elect shall serve as the board delegate to ASPCRO; if he or she cannot attend, the president, or his or her designee, shall serve as the board delegate to ASPCRO.
- 10. The president shall supervise the activities of the registrar / executive officer.
- 11. In intervals between meetings of the board, the president shall have authority to make decisions respecting emergency or urgent matters.

- 12. The president shall sign decisions and rulings of the board on behalf of the board, and minutes after approved by the board.
- 13. The president shall serve as liaison between the board and the Deputy Director of Board Support.

# Vice President

1. If the president is temporarily unable or unwilling to perform his or her duties as president, the vice president shall perform all of the duties of the president, and when so acting shall have all the powers of, and be subject to all the restrictions upon, the president.

Reference: Section 8523, Business and Professions Code

History: Adopted 1/10/03

Amended 7/18/03 Amended 1/14/05 Amended 11/18/08 SUBJECT: MAIL VOTES

PURPOSE: To provide policies for Board members voting by mail.

### **Mail Votes**

The Board reviews and votes on disciplinary cases on a continuous basis through mail vote. Proposed Decisions and Stipulations are sent to the Board members for their review and vote. Board members have fourteen (14) days to review the Proposed Decisions and Stipulations and submit their vote. Each Board member may vote to either:

- · Adopt the Proposed Decision or Stipulation;
- · Reject the Proposed Decision or Stipulation; or
- Hold Proposed Decision or Stipulation for discussion at the next closed session.

Any Proposed Decision or Stipulation received by Board staff within thirty (30) days of a Board meeting will be held for closed session. At least four (4) votes are required to adopt or reject a Proposed Decision or Stipulation.

Two (2) votes shall be required in order for a Proposed Decision or Stipulation to be held over for discussion at a meeting of the Board.

Reference:

History: Adopted 10/16/14

Amended 10/10/2017

# LICENSING and EXAMINATION

# NO. L-1

SUBJECT: UNAUTHORIZED ASSISTANCE

PURPOSE: To establish guidelines for examination proctors when cheating occurs.

# **POLICY**

An applicant who gives or receives unauthorized assistance during an examination shall be dismissed from the examination and his/her markings or results shall be void and such applicant's examination fee shall be forfeited.

Reference: Section 496, Business and Professions Code

History: Adopted 11/12/82

Amended 10/12/85

NO. L-2

SUBJECT: POSTPONEMENT OF EXAMINATION

PURPOSE: To establish unacceptable reasons for granting a postponement of examination.

# **POLICY**

Lack of preparation is not considered a valid reason for postponement as provided in section 1941 of the Rules and Regulations. Such request will be denied and the fee forfeited.

Reference: Section 8560, Business and Professions Code

Section 1941, California Code of Regulations

History: Adopted 10/29/83

SUBJECT: FEES

PURPOSE: To establish guidelines to assure that licenses/certificates and renewals are not

issued until fees are paid.

**POLICY** 

No registration certificate or license or renewal for a license shall be issued or renewed where fees tendered is in the form of a personal check until the check has cleared. Personal checks retuned unpaid for any reason shall be treated in the same way as though no fee at all had been

tendered.

Reference: Sections 8562, 8564 and 8590, Business and Professions Code

Sections 1936 and 1936.1, California Code of Regulations

History: Adopted 11/12/82

Amended 10/25/96 Repealed 10/4/96 SUBJECT: APPLICATOR EXAMINATION

PURPOSE: To establish guidelines for the use, control and security of applicator examinations.

**POLICY** 

### General:

- 1. Examinations and answer keys must be kept in a locked cabinet, closet, drawer, or similar enclosed place and not removed until used.
- 2. Examination cannot be reproduced in any form.
- 3. A log provided by the Board of each examination given must be maintained in duplicate and a copy accounting for the previous order sent to the Board with the next order or upon request.
- The current examination must always be used. When examinations are changed, registered companies will be notified by the Board and unused examinations must be returned to the Board to be exchanged for the current examination.
- 5. After completion of an examination, whether passed or failed, it must be returned immediately to the Board.
- 6. The owner or qualifying manager may act as proctor or designate a proctor to administer the examination. Even though the owner or qualifying manager delegates this authority, he/she remains responsible for the integrity of administration of the examination.
- 7. A new examination booklet must be used each time an examination is given.
- 8. If an examinee fails the examination, he/she may repeat the examination but a new examination booklet must be used.
- 9. The passing grade is 70 correct answers.
- 10. If an examination is lost, stolen or damaged, the Board must be notified immediately.
- 11. Applicator examinations are not transferable from one company to another.

# Administration of Examination:

- 1. Proctor must identify the examinee by driver's license or other photo identification.
- 2. Examination must be given in a quiet place.
- 3. Examination must be uninterrupted.

Examination must be taken at one sitting.

- 5. Examinee must NOT write in the examination booklets.
- 6. Examinee can take short breaks but they must surrender examination booklets to the proctor and they cannot talk to anyone or consult any aids. If more than one examinee is taking the examination at one time only one at a time may take a break.
- 7. Proctor must be present during the entire examination.
- 8. No resource materials or display can be used.
- 9. No assistance can be given.
- 10. Proctor must certify on the cover of the examination that it was administered in accordance with the Board instructions.
- 11. Examined must certify on the cover of the examination that the examination was taken in accordance with Board instructions and agree to an audit by reexamination if selected by the Board.
- 12. Proctor grades the examination.
- 13. Proctor returns all completed booklets and answer sheets, whether passed or failed, to the Board.
- 14. If the examinee passes, the temporary certificate on the cover of the examination must be signed, dated, detached and retained by the applicator. The permanent certificate will be sent to the applicator within 30 days of receipt of the examination by the Board.

Reference: Sections 8551.5 and 8564.5, Business and Professions Code.

History: Adopted 1980

Amended 10/6/84 Amended 10/25/86 Repealed 1/1/91 NO. L-5

SUBJECT: INCOMPLETE EXAMINATION APPLICATIONS

PURPOSE: To establish a standard time period for retaining incomplete application for

examination.

# **POLICY**

An incomplete application for examination will be purged and such applicant's examination fee shall be forfeited six months after the last contact made with the applicant requesting completion of the examination application.

Reference: Section 8562 and 8564, Business and Professions Code

Section 1936, California Code of Regulations

History: Adopted 10/13/89

SUBJECT: CONTINUING EDUCATION EXEMPTIONS FOR ARMED SERVICES PERSONNEL

PURPOSE: To provide for the temporary waiver of continuing education renewal requirements

for licensees servicing during any call for action.

### **POLICY**

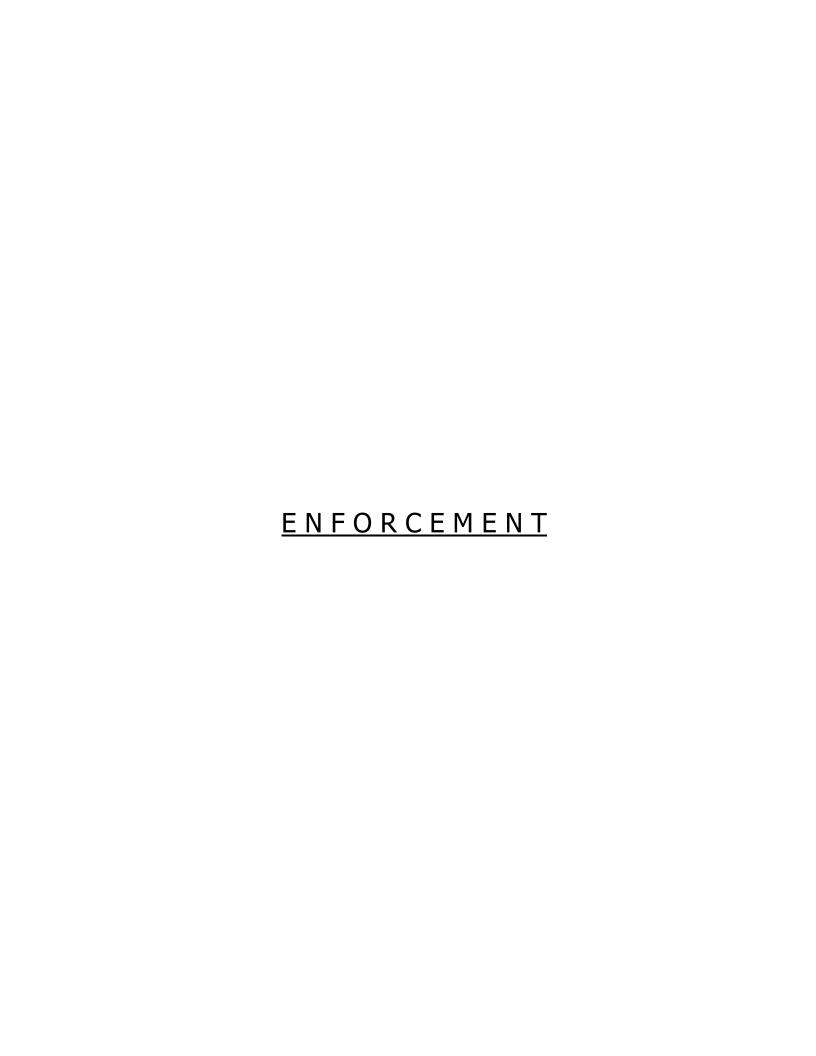
Any licensee who permitted his/her license to expire while serving in any branch of the armed services of the United States during any call for action, may have one year from the date of discharge from the armed services or return to inactive status to earn the required continuing education hours necessary to reinstate his/her license; provided the license was valid at the time the licensee was called to action, and the application for reinstatement is accompanied by an affidavit showing the date of discharge from the armed services or return to inactive status.

Reference: Sections 114, 8590 and 8593, Business and Professions Code

Sections 1950, California Code of Regulations

History: Adopted 4/5/91

Amended 9/5/91 Repealed 10/4/96 Readopted 1/18/02 Repealed 4/22/10



SUBJECT: COMPLAINT PROCESS

PURPOSE: To establish guidelines and procedures for accepting and processing complaints

against registered companies/licensees.

### **POLICY**

Complaint against a licensee/registered company of the Structural Pest Control Board shall be filed with the Board's office.

Upon receipt of a complaint the Board will inquire as to whether the consumer has contacted the company first and made an effort to resolve the problem. Exceptions are those complaints that the Registrar feels should be investigated by the Division of Investigation or a Structural Pest Control Board Specialist because of the seriousness of the evidence of the violation or unusual and special circumstances.

A complaint will not be accepted if the statute of limitations has expired.

When a complainant seeks repairs or treatment at no charge from a licensee/registered company whose termite inspection omitted reportable items which were available to the consumer in a prior report by another licensee/registered company, the complainant will be advised that: (1) the Board will not try to compel the licensee/registered company to bring the property into compliance other than issuing a proper report and (2) the Board will investigate the case to determine if the licensee/registered company is in violation and administrative action warranted.

Upon receipt of a complaint alleging a violation of the Structural Pest Control Act, a post card acknowledging the complaint or letter of rejection will be sent to the complainant within five working days.

Complaints involving possible pesticide poisoning shall be referred immediately by telephone to the local agricultural commissioner.

Consumer complaints shall be mediated by the Board unless criminal or gross violations are readily apparent. Complaints that are the result of gross, deliberate or repeated violations of the Act shall be sent to the Attorney General for disciplinary action regardless of the mitigating action of the licensee.

When a complaint is received, a letter of transmittal and copy of the complaint shall be sent to the licensee/registered company within five working days of receipt.

When a complaint is received, staff will determine if a building permit was required to complete repairs on the property, and also verify whether the permit was obtained.

The letter of transmittal shall request that the licensee/registered company respond to the complaint stating his/her position and intentions. The letter of transmittal shall inform the licensee/registered company that a response is expected within ten (10) days from receipt of the complaint. At the discretion of the Registrar, the ten (10) working days allowed for the licensee's /registered company's response may be extended for good cause, but not to exceed an additional twenty (20) working days. The initial contact letter sent to a registered company will request that building permit final papers must be provided to the Board for each repair performed when such permit is required.

If the licensee/registered company fails to respond to the transmittal letter, the consumer services representative shall try to contact the licensee/registered company before referring the complaint to a Structural Pest Control Board Specialist.

Complaints mediated by consumer services representatives shall be closed or referred to a Structural Pest Control Board Specialist within thirty (30) days after the date of the transmittal letter to the licensee/registered company. At the discretion of the Registrar, the thirty (30) days provided for settlement may be extended for good cause.

The Registrar shall verify all complaints that are resolved by the licensee/registered company.

When a case is closed by settlement or dismissal, the parties shall be notified by the Board within ten (10) days.

Effective August 13, 1999, when a request is made for copies of a complaint file(s) only those documents which are public records, such as accusation, statement of issues, citations, final decisions, documents introduced at an administrative hearing or documents which have been previously disclosed to the public will be provided. All other documents contained in the complaint file will not be disclosed pursuant to the Government Code section 6254(f). If the Board is served with a subpoena it will be given to the Executive Officer or the Assistant Executive Officer or Legal Counsel before any documents are released.

Reference: Sections 129, 8616.5, 8621 and 8622, Business and Professions Code

History: Adopted 4/20/79

Amended 11/12/92 Amended 10/6/84 Amended 12/9/84 Amended 10/12/85 Amended 10/25/86 Amended 9/5/91 Amended 10/22/93 Amended 10/6/95 Amended 10/4/96 Amended 8/13/99 Amended 4/6/00 Amended 10/10/2017 SUBJECT: INSPECTION PROCESS

PURPOSE: To establish guidelines and procedures for processing inspections and assessing

inspection fees.

### **POLICY**

### General:

Any case that involves criminal or civil activity within the jurisdiction of a district or city attorney may be referred to those agencies in addition to the Attorney General.

Structural Pest Control Board Specialists that are hired after leaving a registered company shall not be assigned to inspect complaints against that company until after two years from leaving the company.

Structural Pest Control Board Specialists shall be encouraged to obtain a Structural Pest Control Board Field Representative's License in Branch 3 or the equivalent within one year from being hired. The Board also encourages specialist to qualify in all branches.

A Structural Pest Control Board Specialist is authorized to investigate immediately a cause of death or serious injury when structural pest control is involved without first obtaining the approval of the Registrar.

When the Registrar has information which indicates that a licensee/registered company has failed to meet standards of performance or report requirements, a Structural Pest Control Board Specialist may, at the direction of the Registrar, inspect inspections or jobs completed by the licensee/registered company to determine if errors were made or if it appears that violations are deliberate or customary.

When the Registrar requests inspections by Structural Pest Control Board Specialists, such inspections are for the purpose of determining whether the Act and/or regulations have been violated. The specialist shall not give advice, legal or otherwise, when inspecting cases for the Structural Pest Control Board.

The Structural Pest Control Board Specialists when directed by the Registrar shall determine if work is completed or repairs made as specified in the complaint. The specialist may inspect the entire property for compliance with the Act. Any violations found may be grounds for disciplinary action.

The specialist should communicate to the complainant that his/her inspection is conducted for the purpose of enforcing the provisions of the Structural Pest Control Act and that the Board's jurisdiction is over the license/registration certificate and does not award a financial settlement to the complainant.

### No Violation Determined:

When a Structural Pest Control Board Specialist cannot determine that a violation by a licensee/registered company occurred, the specialist, at that time, shall inform the complainant and shall include in the specialist report that he/she has given this information to the complainant. When a case is closed by settlement or dismissal, the parties thereto shall be notified by the Board within ten (10) days.

# Violation(s) Determined:

When violations are found, a letter from the Registrar enclosing the report of findings of the Structural Pest Control Board Specialist (example below) may be sent to the licensee/registered company by certified mail with return requested allowing him/her/it thirty (30) days to comply. Extensions may be granted by the specialist but all extensions must be requested for in writing and should not extend beyond thirty (30) days. A copy of the letter will be sent to the complainant.

	<u>Example</u>
RE:	
	NOTICE

The above-numbered case was opened as result of a complaint filed by regarding a property at

Enclosed is a Report of Findings from the Specialist assigned to the case that confirms your activities regarding the property are not in compliance with the Structural Pest Control Act and/or Rules and Regulations.

Within thirty (30) calendar days from receipt of this letter, you must do the following:

- ( ) Inspect the property and submit a Wood Destroying Pests and Organisms Inspection Report addressing, but not limited to, the items described in the attached Report of Findings to the Board. Send a copy of the report to the attention of the assigned Specialist/Investigator at the Structural Pest Control Board, 2005 Evergreen Street, Suite 1500, Sacramento, CA 95815-3831, as well as the complainant/property owner.
- ( ) Bring the property into compliance by correcting the items described in the attached Report of Findings.
- ( ) Submit a Standard Notice of Work Completed and Not Completed to the Board. Send a copy of the Notice to the assigned Specialist at the Structural Pest Control Board, 2005 Evergreen Street, Suite 1500, Sacramento, CA 95815-3831, as well as the complainant/property owner.

An inspection fee in accordance with Business and Professions Code section 8622 may be assessed for inspection(s). If a subsequent inspection is deemed necessary, a reinspection fee may be assessed. A notice of the total amount of inspection fees due will be sent to you under separate cover.

In order to expedite this case, please notify the Specialist named in the attached Report of Findings, in writing, within ten (10) calendar days of your intention to comply with these requirements.

You are hereby advised that if you desire a hearing to contest the Report of Findings, you must mail/deliver to the Board a written request for a hearing within twenty (20) days of your receipt of the Report of Findings. You may, but need not, be represented by counsel at any or all stages of these proceedings. You are further advised that any hearing held hereunder will not be limited to the question of non-compliance or payment of the inspection fee, but may also include evidence of any other violations you may have committed in this instant complaint case or any other case. Said hearing could result in suspension or revocation of your license, as well as the imposition of other penalties authorized by law.

Please note that failure to file a request for a hearing within the twenty (20) days of your receipt of this Report of Findings shall constitute a waiver of your right to request a hearing. If you do not request a hearing, payment of any assessment shall not constitute an admission of any non-compliance charged.

You are also advised that even if you do not request a hearing, the Board may initiate the hearing process by filing an accusation against you. Any hearing held hereunder will not be limited to the question of non-compliance or payment of the inspection fee(s), but may also include evidence of any other violations you may have committed. Said hearing could result in suspension or revocation of your license as well as the imposition of other penalties authorized by law.

Sincerely,

# STRUCTURAL PEST CONTROL BOARD

Cases shall be closed by the Structural Pest Control Board Specialist or sent to the Chief Enforcement Officer for enforcement of disciplinary determination within forty (40) days after compliance or noncompliance with the report of findings.

# <u>Inspection Fees Under Section 8622</u>

The Structural Pest Control Board Specialist shall be the Board's representative for determining licensee/registered company compliance.

The fee shall be based on the time necessary for the initial inspection and final inspection following a corrected inspection or completion report, or both. Travel time is not included.

Fees shall be assessed at the full cost recovery rate computed for Structural Pest Control Board Specialists up to \$125 per inspection.

If through mediation the licensee/registered company agrees to perform corrections as identified

by the complaint questionnaire but the homeowner will not consent and insists upon an inspection by a Structural Pest Control Board Specialist, no inspection fee will be assessed. However, a licensee/registered company must provide evidence that an offer was made prior to the Structural Pest Control Board Specialist referral in order to avoid paying fees. A positive offer must be in writing or made to the consumer services representative. Without such evidence, inspection fees will be assessed, unless the specialist determines the property is in compliance.

Complaints that result in the inspection by a Structural Pest Control Board Specialist and the filing of disciplinary action without allowing the licensee/registered company thirty (30) days to correct is exempt from the assessment.

A letter advising of the required fee will be sent to the licensee/registered company upon closure. If payment is not remitted within thirty (30) days of the original request, a final demand for payment will be sent.

If payment is not remitted within thirty (30) days of the final notice, administrative or civil action will be initiated by the Registrar.

Reference: Sections 108, 129, 155, 8520 and 8622, Business and Professions Code

History: Adopted 4/20/79

Amended 10/30/81 Amended 11/12/82 Amended 10/29/83 Amended 10/6/84 Amended 10/12/85 Amended 10/25/86 Amended 2/19/88 Amended 4/22/94 Amended 10/2/98 Amended 1/11/08 Amended 11/18/08 SUBJECT: ADMINISTRATIVE HEARING PROCESS

PURPOSE: To establish guidelines for procedures for administrative hearings and content of

proposed decisions.

### **POLICY**

When a licensee/registered company has an accusation filed against him/her, the Board will consider hearing the matter in front of the administrative law judge whenever the Registrar recommends that the accusation is unusual and warrants the Board's attention.

# Office of Administrative Hearings Agency Policy Statements

Where the record permits, the proposed decision shall contain findings of fact as to whether restitution has been made. When offered by the respondent, a conditional order (probationary) may include restitution in the amounts of and on the terms offered.

When appropriate, the proposed order should permit completion of work contracted for by the licensee/registered company prior to the hearing (Business and Professions Code section 8620).

It is requested that findings of fact set forth concisely those facts upon which the administrative law judge rests any extraordinary conclusions or recommendation. Aggravating circumstances, mitigating circumstances, or factors relating to rehabilitation, or the lack thereof (particularly including whether or not restitution has been made), should be included in the findings.

Civil penalties shall not be assessed by the administrative law judge but are left to the discretion of the Board.

# Board Member and Staff Appearance with Legal Counsel

The Registrar, Chief Enforcement Officer and/or Board Members shall not discuss an accusation which is pending before the Board with the respondent and/or his/her/its counsel.

Reference: Section 8620, Business and Professions Code

Section 11517 and 11518, California Administrative Procedure Act

History: Adopted 4/20/79

Amended 11/12/82 Amended 10/25/86 Amended 10/2/98 Amended 11/18/08 SUBJECT: STIPULATED AGREEMENT

PURPOSE: To establish guidelines for negotiating settlements of administrative actions.

# **POLICY**

Stipulated agreements are recognized by the board as a means of resolving violations of the Structural Pest Control Act without further expense to either the board or the licensee/registered company. The Registrar and Chief Enforcement Officer have delegated authority to negotiate stipulated agreements on the board's behalf. The following procedures and considerations, however, must be complied with by the licensee/registered company or the licensee's/registered company's attorney in submitting stipulated agreements for board consideration.

- 1. The stipulation should be in writing and submitted by the respondent through the Deputy Attorney General assigned to the case for review by the registrar and submission to the board.
- 2. The stipulation should contain a penalty.
- 3. The stipulation should provide for a minimum three year probationary period.
- 4. The stipulation should specifically state whether restitution has been or will be made to the consumer and the amount of such restitution.
- 5. The stipulation should provide that respondent agrees to provide a surety bond as required by Business and Professions Code section 8697.3.
- 6. The stipulation should require as a condition of probation that the respondent complete the Board approved course in the appropriate branch(es) of violation within one and one-half years of the effective date of the decision with a final grade of c minus (c-) or better.
- 7. The stipulation may restrict discipline to the branch(es) of violation.
- 8. Quarterly reports may be required as a condition of probation. If so required, respondent must agree to prepare reports under penalty of perjury specifying the following for the particular quarter:

# Operator - Branch I

A. Number of fumigations performed.

- B. Number of fumigations using Methyl Bromide, Vikane and other fumigants, identifying such other fumigants.
- C. Name(s) and license number(s) of field representative(s) and operator(s) employed.
- D. Name(s) and license number(s) of supervisor(s) in charge of licensees and work crews.
- E. Type and amount of training offered to new hires and continuing employees.
- F. Complaints received by the company regarding fumigations.
- G. Notices of violations or citations issued by agencies other than the Structural Pest Control Board.
- H. Any other information requested by the registrar.

# Operator - Branch II

- A. Number of services performed.
- B. Name(s) and license number(s) of field representative(s) and operator(s) employed.
- C. Name(s) and license number(s) of licensed applicator(s) employed.
- D. Name(s) and license number(s) of supervisor(s) in charge of licensees and work crews.
- E. Type and amount of training offered to new hires and continuing employees.
- F. Complaints received by the company regarding pesticide misapplication.
- G. Pesticide-related notices of violation or citations issued by agencies other than the Structural Pest Control Board.
- H. Any other information requested by the registrar.

# Operator – Branch III

- A. Name(s) and license number(s) of field representative(s) and operator(s) employed and the number of inspections completed by each.
- B. Name(s) and license number(s) of licensed applicator(s) employed.
- C. Name(s) and license number(s) of supervisor(s) in charge of licensees and work crews.
- D. Type and amount of training offered to new hires and continuing employees.
- E. Complaints received by the company regarding inspections or work performed.
- F. Notices of violations or citations issued by agencies other than the Structural Pest Control Board.

- G. Number of inspections ordered by licensed real estate agents or realty offices and the number of inspections ordered by individuals.
- H. Number of Notices of Work Completed and Not Completed filed.
- I. Any other information requested by the registrar.

# <u>Field Representative/Operator-Employee – Branch I</u>

- A. Number of fumigations performed by this licensee.
- B. Complaints regarding fumigations performed by this licensee.
- C. Training courses completed or currently being taken by this licensee.
- D. Notices of violations or citations issued to this licensee by agencies other than the Structural Pest Control Board.
- E. Any other information requested by the registrar.

# Field Representative/Operator-Employee – Branch II

- A. Number of pesticide application performed by this licensee.
- B. Complaints received regarding pesticide misapplication by this licensee.
- C. Training courses completed or currently being taken by this licensee.
- D. Pesticide-related notices of violations or citations issued to this licensee by agencies other than the Structural Pest Control Board.
- E. Any other information required by the registrar.

### Field Representative/Operator-Employee – Branch III

- A. Number of inspections completed by this licensee.
- B. Complaints regarding inspections or work performed by this licensee.
- C. Training courses completed or currently being taken by this licensee.
- D. Pesticide related notices of violations or citations issued to this licensee by agencies other than the Structural Pest Control Board.
- E. Any other information requested by the registrar.

A cover letter from respondent may accompany the stipulation setting forth the following:

(1) Any mitigating circumstances which may justify a reduction of the penalty.

- (2) Procedural steps to be taken by the respondent to prevent a reoccurrence of the violations.
- (3) An explanation for the failure to resolve the complaint at the consumer services representative or board specialist level prior to filing of the accusation by the board.
- (4) An explanation as to why discipline is limited to a specific branch office(s) or to a specific branch of licensure.

A detailed cover memorandum from the deputy attorney general assigned to the case must accompany the stipulation setting out some evidence and facts adverse and/or beneficial to the board's case and setting forth the reasons why the Board should accept the stipulation. If this cover memorandum does not accompany a stipulation, it will be returned to the deputy attorney general.

Reference: Sections 101.6 and 8697.3, Business and Professions Code

Section 1999.1, California Code of Regulations

Section 11511.5, California Administrative Procedure Act

History: Adopted 11/12/82

Amended 10/29/83 Amended 8/10/85 Amended 10/25/86 Amended 2/19/88 Amended 4/22/94 Amended 10/6/95 Amended 10/5/96 Amended 10/2/98 SUBJECT: COMPLAINT/DISCIPLINARY DISCLOSURE

PURPOSE: To establish guidelines for disclosing complaints and disciplinary action histories to

the public.

### **POLICY**

It is the policy of the Structural Pest Control Board that information regarding complaints and disciplinary actions against licensees/registered companies and information regarding their license/registration certificate status as specified below shall be readily accessible in a meaningful form to the public unless in the determination of the Board, disclosure of such complaint information would be unduly prejudicial to licensees/registered companies.

# Information to be Provided Regarding Complaints

The Board shall maintain a system of information regarding complaints received during the preceding two fiscal years, which will afford to the public, upon request, all of the following regarding a particular licensee/registered company:

- A. The number of complaints filed against a licensee/registered company which, after contact with the licensee/registered company, have been closed. If information is requested on a multi-branch company, information will be given on the branch office requested; and
- B. With respect to each such complaint, the following information:
  - (1) Its date of receipt
  - (2) Its disposition, by indicating whether the matter has been:
    - (a) / dismissed
    - b) disposed of through settlement or compromise
    - c) referred to formal disciplinary action
    - d) disposed of through any other action, formal or informal, taken against the licensee/registered company

### Information to be Provided Regarding Disciplinary Actions

The Board shall maintain records showing the disciplinary history of all current

licensees/registered companies and shall inform the public, upon request, whether any current licensee/registered company has been disciplined during the preceding three years, and, if so, when and for what offense. Any request for a license history beyond the preceding three years must be made in writing and provide full cost recovery.

# <u>Information to be Provided Regarding License/Registration Certificate Status</u>

The Board shall provide to the public, upon request, the following information regarding past and current licensees/registered companies:

- A. The name of the licensee/registered company, including all business or fictitious names that appear on board records
- B. The license/registration certificate number
- C. The address of record and telephone number
- D. The date of original licensure/or registration,
- E. Information concerning a bond, insurance or cash deposit
- F. The date such license/registration certificate expired or was terminated and, if applicable, the reason for termination

# Quantity of Information to be Provided per Week

To avoid undue delay in the Board's response to other requesters and in order that no requester may overburden the Board's system, the Registrar may establish reasonable limits on the number of requests per week from any one requester which the Board may accept.

# Press Releases

Notices on suspension or revocation of a license and/or registration may be sent by the Board after the period for appeal has expired to media sources within the licensee's location without departmental approval. Actions that involve the department shall be submitted to the director.

Reference: Sections 6250, 6252 and 6253, Business and Professions Code

History: Adopted 1/18/80

Amended 11/12/82 Amended 10/25/86 Amended 2/19/88 Amended 10/2/97 Repealed 10/14/99 SUBJECT: ACCEPTING A COMPLAINT BY A REGISTERED COMPANY AGAINST A LICENSED

**EMPLOYEE** 

PURPOSE: To establish instructions for accepting a complaint by a registered company

against a licensed employee

#### **POLICY**

1. A complaint will be accepted for serious cases at the Registrar's discretion.

- 2. The company must submit to the Board a minimum of three addresses where major violation of the law occurred by the licensee.
- 3. The company must secure an agreement with each property owner that he/she will allow a Structural Pest Control Board Specialist to inspect the property prior to the repairs being undertaken by the registered company.
- 4. The complaint is sent directly to the appropriate specialist for inspections of the properties. If violations are observed, the registered company and subject employee are advised.
- 5. The company must make the necessary repairs for the consumer.
- 6. Disciplinary action is initiated against the licensed employee.
- 7. Cases involving poor quality control by an employer, poor supervision, poor training, etc. will not be accepted by the Board.

Reference: Sections 129, 8616.5, 8621 and 6822, Business and Professions Code

History: Adopted 8/4/89

Repealed 10/4/96

SUBJECT: BOARD MEMBER ASSISTANCE IN COMPLAINT INVESTIGATION

PURPOSE: To permit a board member's assistance and expertise in the complaint

investigation process while assuring a non-biased disciplinary decision.

**POLICY** 

The registrar, at his or her discretion, may request a board member's assistance while investigating a complaint with the understanding that the board member should recuse himself/herself when the matter is considered for disciplinary action.

Reference: Sections 129, 8620, 8621 and 8622, Business and Professions Code

History: Adopted 4/22/94

Amended 11/18/08 Repealed 10/17/13 SUBJECT: BOARD REVIEW OF CLOSED COMPLAINTS

PURPOSE: To establish quality control procedures

**POLICY** 

At each Board meeting, the Board will be provided with a list of closed consumer complaints by number and disposition. A committee of two Board Members will select and review cases.

Reference: Sections 129, 8620, 8621 and 8622, Business and Professions Code

History: Adopted 10/22/93

Repealed 1/18/02

SUBJECT: FALSE ADVERTISING/UNFAIR COMPETITION

PURPOSE: To establish guidelines for accepting complaints regarding false advertising/unfair

competition

**POLICY** 

In cases of significant wrong doing involving false advertising or unfair competition, appropriate action under the provisions of Business and Professions Code sections 17200 and 17500 will be

considered.

Reference: Sections 8648, 17200 et seq., 17500 et seq.

History: Adopted 10/22/94

Repealed 10/11/02

SUBJECT: COMPLAINT DISCLOSURE POLICY

PURPOSE: Defined Policy to Provide the Public with Information Regarding Complaint and

**Disciplinary Actions** 

#### **POLICY**

The Structural Pest Control Board (hereinafter "Board") complaint disclosure policy has been developed to provide the public with information regarding complaints and disciplinary action against pest control licensees, candidates for licensure, and unlicensed individuals.

The Board's complaint disclosure policy does not include non-actionable complaints. Non-actionable complaints are those, which after investigation, were determined to be unsubstantiated or complaints which have been determined not to be within the Board's jurisdiction. If a complaint was initially determined to indicate a probable violation of law and is later found, upon further investigation, not to constitute a violation, it shall not be disclosed.

In complying with a request for complaint information, the Board may provide such cautionary statements as may be considered appropriate regarding the usefulness of complaint information to individual consumers in their selection of a pest control licensee.

#### Information to be Released

The Board will disclose the following information regarding complaints:

#### Closed Actionable Complaints

Closed actionable complaints are defined to mean complaints, which the Board has (1) investigated, (2) determined that there was a violation of the laws regulating the practice of structural pest control and (3) taken disciplinary action (i.e. citation, accusation, statement of issued, stipulated settlement).

With regard to closed actionable complaints, the board will disclose the number of closed actionable complaints, and the disposition or action taken, including any criminal conviction or any decision or stipulation which resulted from the filing of an accusation or statement of issues, and the date of closure. The disposition of administrative cases (in accusation and statement of issues) will be released only after the decision has become effective. The Board will furnish a copy of the accusation, statement of issues, citations, documents introduced at the hearing relating to a disciplinary action, and the decision resulting.

# **Pending Complaints**

Pending complaints are defined to include the following:

#### Category 1.

- (a) Complaints which are under investigation but no determination has been made as to whether a violation of the Board's laws has occurred, or
- (b) Complaints which after review by Board staff, indicate a probable violation of the Board's laws, but a disposition of the complaint is pending.

#### Category 2.

(a) A complaint which after an investigation has indicated a probable violation of the board's law and has been referred to the Attorney General's Office for prosecution.

#### Category 3.

(a) A complaint which has resulted in the issuance of a citation by the Board or county agricultural commissioners or the initiation of formal disciplinary action, e.g., an accusation or statement of issues being filed by The Office of the Attorney General, but where a decision has not been rendered.

# **Information To Be Disclosed on Pending Complaints**

Category 1 Complaints---The Board will disclose no information regarding Category 1 complaints.

Category 2 Complaints---The Board will disclose the existence and number of Category 2 complaints filed against a licensee, along with a statement that the complaint has been referred to The Office of the Attorney General for review and possible prosecution, but that there has been no final determination of wrongdoing by the licensee.

Category 3 Complaints---The Board will disclose the existence and number of category 3 complaints and provide copies of the charging documents, e.g. accusation, statement of issues, or citations along with a statement that there has been no final determination of wrongdoing by the licensee.

History: Adopted 8/13/99

SUBJECT: COMPLAINT DISCLOSURE PROCEDURES FOR STAFF

PURPOSE: To Provide Staff Guidelines For Disclosing Information on Complaints

#### Closed Actionable Complaints

Upon receipt of any inquiry for complaint information, which results in identification of a closed actionable complaint(s), as defined in the Board's Complaint Disclosure Procedure, enforcement staff shall disclose specific information after making the following disclosure statement:

The Board currently has (specify number) closed complaint(s), which has resulted in a administrative or disciplinary action against this individual. A determination has been made that there has been a violation of the laws regulating the practice of pest control. Copies of an accusation, statement of issues, citations, final decisions, and any documents introduced at an administrative hearing or documents, which have been previously distributed to a member of the public, can be disclosed to a member of the public. All other documents contained in the investigatory file will not be made public in accordance with Government Code Section 6254(f).

Following the statement, enforcement staff will disclose the number of complaints received and if there was a violation or if it was settled.

#### Pending Complaints in Board Office

Upon receipt of an inquiry for complaint information, which results in the identification of an open complaint(s), which is under investigation and pending a determination of a violation of intended action, enforcement staff shall make the following disclosure statement:

If no action has been determined or taken on an open complaint the staff will advise that "Currently there are no complaints against the company/individuals."

If complaints after investigation indicated a probable violation, and have been referred to The Office of the Attorney General, but no formal documents have been filed then the following statement should be made. "The Board currently has (specific number) complaint(s) open against this company/individual. The matter(s) has been forwarded to The Office of the Attorney General for review and possible prosecution. At this time there have been no confirmed violations of the Structural Pest Control Act."

# Pending Complaints – Accusation or Statement of Issues Has Been Served

Upon receipt of an inquiry for complaint information which results in the identification of an open complaint which has been referred to The Office of the Attorney General and an accusation or statement of issues has already been served, enforcement staff shall make the following disclosure statement:

"The Board currently has (specify number) complaint(s) open against this individual. The matter(s) has been forwarded to The Office of the Attorney General and an accusation/statement of issues has been served. At this time, there have been no confirmed violations of the Structural Pest Control Act. A copy of the accusation/statement of issues can be obtained by submitting a written request to the Board."

# <u>Subpoenas</u>

If the Board is served with a subpoena that document will be given to the Executive Officer or the Assistant Executive Officer and forwarded to Legal Counsel before any documents are released.

Staff will not provide any additional information.

History: Adopted 8/13/99

#### Prepared 9/19/18

# **0168 - Structural Pest Control Reseach Fund Analysis of Fund Condition**

(Dollars in Thousands)

# 2018-19 Budget Act

			Pr	elim 12				
		TUAL	_	PY	_	CY	•	BY
	20	16-17	2	017-18	2	018-19	2	019-20
BEGINNING BALANCE	\$	738	\$	885	\$	1,040	\$	1,188
Prior Year Adjustment	\$	-1	\$	-	\$	-	\$	
Adjusted Beginning Balance	\$	737	\$	885	\$	1,040	\$	1,188
REVENUES AND TRANSFERS Revenues:								
4129200 Other regulatory fees	\$	142	\$	147	\$	147	\$	147
4163000 Income from surplus money investments	\$	6	\$	8	\$	4	\$	4
Totals, Revenues	<u>\$</u> \$	148	\$	155	\$	151	\$	151
Transfers from Other Funds								
Totals, Revenues and Transfers	\$	148	\$	155	\$	151	\$	151
Totals, Resources	\$	885	\$	1,040	\$	1,191	\$	1,339
EXPENDITURES								
<u>Disbursements:</u>								
1111 Department of Consumers Affairs Regulatory	_		_		_	_	_	
Boards, Bureaus, Divisions (State Operations)	\$	-	\$	-	\$	3	\$	3
Total Disbursements	\$	-	\$	-	\$	3	\$	3
FUND BALANCE								
Reserve for economic uncertainties	\$	885	\$	1,040	\$	1,188	\$	1,336
Months in Reserve				4,160		4,659		4,937

#### NOTES

- A. ASSUMES WORKLOAD AND REVENUE PROJECTIONS ARE REALIZED IN BY+1 AND ONGOING
- B. EXPENDITURE GROWTH PROJECTED AT 2% BEGINNING IN BY+1
- C. ASSUMES INTEREST AT .3% INVESTMENTS

# STRUCTURAL PEST CONTROL BOARD

# **REGULATORY ACTION STATUS**

SECTION	SUBJECT	STATUS
1902	Definitions	October 1, 2018 – Staff Preparing Regulatory Proposal
	Addresses – Permits licensees to request a mailing address other than the address of record.	March 13, 1996 – Approved by the Office of Administrative Law
1911	Addresses – Requires applicators to report change of address.	August 12, 1996 – Approved by the Office of Administrative Law
	Change of Address / Employment  Allow Employers to Notify Board of Employee  Disassociation	November 5, 2014 — Act Review Committee Recommended Change to Allow Companies to Notify the Board of Employee Disassociation  July 1, 2017 – The Language Proposed by the Act Review Committee is Included in Senate Bill (SB) 800 to Amend B&P Code Section 8567 and Will Accomplish the Regulatory Effect of the Proposed Changes to CCR 1911

1912	Branch Office Registration – Section 100 Change. To change the phrase "A registered company who opens a branch shall" to "A registered company which opens a branch office shall"	Section 100 Change – Approved by the Office of Administrative Law on May 17, 2004
1914	Name Style – Delete Board's responsibility to disapprove confusingly similar name styles	December 16, 1998 – Public Hearing Disapproved by the Board April 4, 2003 - Public Hearing - Board voted to adopt February 14, 2004 Rulemaking File expired due to Executive Order Noticed for Public Hearing: April 8, 2005 Adopted by the Board. March 21, 2006 Approved by the Office of Administrative Law
1914	Name Style – Company Registration  Will Prohibit the Approval or Use of a Company Name or Telephone Number That is the Same as the Name or Telephone Number of a Company Whose Registration has Been Surrendered	October 13, 2016 – Public Hearing was Conducted and Board Directed Staff to Begin Final Rulemaking Process  October 2, 2017 – Approved by Office of Administrative Law and Effective January 1, 2018
1918	Supervision – Clarifies that a field representative or an operator can supervise.  Supervision – Permits qualifying managers to supervise multiple locations.	August 12, 1996 – Approved by the Office of Administrative Law.  December 16, 1998 – Public Hearing. Referred to Rules and Regulations Committee.  August 6, 1999 – Modified language mailed. January 11, 2001 Public Hearing. Adopted by the Board. Rulemaking file not completed by deadline of December 1, 2001

1918	Re-states supervision of multiple locations, clarifies liability / responsibility of qualifying manager[s] & supervisor(s).	April 4, 2003 Public Hearing, referred to Rules and Regs Committee. Committee meeting held September 17, 2003. Placed on agenda for October 17, 2003 Bd. Mtg. Modified Text mailed Nov. 19, 2003. Comments due Dec. 3, 2003. No comments rec'd. February 14, 2004 Rulemaking File expired due to Executive Order. Noticed for Public Hearing: April 8, 2005. Adopted by the Board. March 21, 2006 - Approved by the Office of Administrative Law.
1919	Research Panel – Deletes reference to public board member on panel.	March 13, 1996 – Approved by the Office of Administrative Law.
1920	Cite & Fine – Authorizes board staff to issue citations and fines.	August 13, 1998 – Approved by the Office of Administrative Law.
	Cite & Fine – Amends to clarify no appeal after modification of decision.	October 15, 1999 – Public Hearing - Board voted to adopt.
1920 (e)(1)(2)(3)	Cite & Fine – Specifies that a second informal conference for a modified citation will not be allowed.	January 11, 2001 - Public Hearing - Board voted to adopt. December 1, 2001 Rulemaking File not completed by deadline. April 4, 2003 - Public Hearing - Board voted to adopt. February 14, 2004 Rulemaking File expired due to Executive Order. Noticed for Public Hearing: April 8, 2005. Adopted by the Board. March 21, 2006 - Approved by the Office of Administrative Law.

1920(e)(2)	Citations and Fines  Allows the Board 30 Days Rather Than 10 to Notify Respondents of Informal Conference Decisions	Economic Impact Statement July 17, 2015 – Resubmitted to OAL August 8, 2013 – Approved by OAL Became Effective October 1, 2013  October 1, 2018 – Staff Preparing Regulatory Proposal
1920(b)	Citation - Assessment of Fines – SB 362 increased max fine amount to \$5000.  Repealed specific criteria required in assessing fines in excess of \$2,500.	Section 100 Change pending Administrative decision to go forward. Filed with Sec. of State: 12-18-03. Board approved DCA's four sets of circumstance for max. fine on October 8, 2004. Noticed for Public Hearing July 15, 2005. December 30, 2005 – Approved by the Office of Administrative Law.  Agency subsequently agreed that the specific criteria from 2004 for fines in excess of \$2,500 should no longer apply. Board approved on April 22, 2010.  December 22, 2010 Notice, ISOR, Language, Std 399 submitted to Linda Otani for review/approval by DPR and Agency.  April 12, 2011 DPR returned package with approval signatures.  May 10, 2012 – Public Hearing – Board voted to adopt.  March 22, 2013 rulemaking file filed with Office of Administrative Law  May 8, 2013 – Disapproved by OAL  Economic Impact Statement not included June 25, 2013 – 15 day notice to add

1922	Civil Penalty Actions by Commissioners – Specifies penalty ranges. Penalty ranges serious, minor and moderate upped to mirror new law.	May 14, 1998 – Approved by the Office of Administrative Law. Noticed for Public Hearing: October 7, 2005. Adopted by the Board. August 25, 2006 – Approved by the Office of Administrative Law.
1922.3	Course requirements by County Agricultural Commissioners - Will place into regulation specific guidelines for licensee / County Ag Commissioners re: civil penalty actions.	Noticed for the April 23, 2004 Board Meeting. Approved by the Office of Administrative Law - July 6, 2005.
1923	Consumer Complaint Disclosure.  DCA created new document: Public Information System – Disclosure.	July 18, 2003 - Public Hearing - Board approved to adopt after proposed language modified with a 15-day public comment period. Rulemaking file placed on hold due to Executive Order. Withdrawn by DCA Legal Dept.  Noticed for Public Hearing: October 7, 2005. Board voted to not proceed. (Language needs re-drafting – (a)4(d)(A) and (B)(ii) – now conforms to healing arts situation, and, if [A] is satisfied – so is [B])
1934	Board Approved Operator's License Course – Specifies time period in which courses must be completed.	August 13, 1998 – Approved by the Office of Administrative Law.

1936	Operator and Field Representative License Applications Revisions to include military and veteran status, revised criminal history question, etc.	March 27, 2014 – Staff directed by Board to begin rulemaking process to revise forms June 4, 2015 - Noticed for Public Hearing  July 23, 2015 - Public Hearing – Adopted by Board.  August 20, 2015 – To DCA for legal review.  June 8, 2016 – 15 Day Notice of Modified Text issued to clarify that California ID in lieu of driver license is acceptable.  October 12, 2016 – Approved and Effective January 1, 2017
1936.1	Company Registration Form Revisions to include military and veteran status, revised criminal history question, etc.	March 27, 2014 – Staff directed by Board to begin rulemaking process to revise forms  June 4, 2015 - Noticed for Public Hearing  July 23, 2015 - Public Hearing – Adopted by Board.  August 20, 2015 – To DCA for legal review.  June 8, 2016 – 15 Day Notice of Modified Text issued to clarify that California ID in lieu of driver license is acceptable.  October 12, 2016 – Approved and Effective January 1, 2017
1936.2	Applicator – Established by regulation the form for the applicator's license.	August 12, 1996 – Approved by the Office of Administrative Law.

1936.2	Applicator License Application Form Revisions to include military and veteran status, revised criminal history question, etc.	March 27, 2014 – Staff directed by Board to begin rulemaking process to revise forms  June 4, 2015 - Noticed for Public Hearing.  July 23, 2015 - Public Hearing – Adopted by Board  August 20, 2015 – To DCA for legal review.  June 8, 2016 – 15 Day Notice of Modified Text issued to clarify that California ID in lieu of driver license is acceptable.  October 12, 2016 – Approved and Effective January 1, 2017
1937	Qualification of Applicant – Specifies minimum number of hours of training and experience.  IPM training and experience – Requires that branch 2 and/or 3 applicants complete training and experience in structural Integrated Pest Management as part of their pre-licensing requirements	August 13, 1998 – Approved by the Office of Administrative Law.  January 2008 – Noticed for Public Hearing to amend the current regulation.  April 18, 2008 - Public Hearing - Board approved to adopt.  June 26, 2008 - Rulemaking file submitted to DCA for Director review.  November 18, 2008 – Clarification of the effective date needed for section 1950 of the rulemaking file.  January 6, 2009 – Rulemaking file submitted to DCA for Director review.  March 20, 2009 - Approved by the Office of Administrative Law.

1937.11	Disciplinary Guidelines – Incorporates by reference the Manual of Disciplinary Guidelines and Model Disciplinary Orders. Clean up language to change reference of UC Berkeley correspondence course to a CE course approved by board.	April 14, 1997 – Approved by the Office of Administrative Law.  Board approved on October 28, 2010.  December 22, 2010 Notice, ISOR, Language, Std 399 submitted to Linda Otani for review/approval by DPR and Agency.  April 12, 2011 DPR returned package with approval signatures.  May 10, 2012 – Public Hearing - Board voted to adopt.  March 22, 2013 rulemaking file filed with Office of Administrative Law  May 8, 2013 – Disapproved by OAL  Economic Impact Statement not included June 25, 2013 – 15 day notice to add  Economic Impact Statement  July 17, 2015 – Resubmitted to OAL  August 8, 2013 – Approved by OAL  Became Effective October 1, 2013
1937.11	Revisions Regarding When Suspension Time Must be Served, Length of Probation, Tolling of Probation, etc.	October 13, 2016 – Public Hearing was Conducted and Board Directed Staff to Begin Final Rulemaking Process  January 3, 2018 – Approved by Office of Administrative Law and Effective April 1, 2018.

1937.17	Customer Notification of Licensure – Adopts regulation requiring practitioner notification to customer of licensure.	October 15, 1999 – Public Hearing - Referred to committee.  January 18, 2002 - Public Hearing adopted by the board with modified text.  December 16, 2002 - Approved by the Office of Administrative Law.
1940 1941 1942	Applicator – Amends these actions to make distinction between field representatives, operators and applicators.	August 12, 1996 – Approved by the Office of Administrative Law.

1948	Applicator Renewal Fee – Establishes the fee for applicator license renewal. Applicator – Establish and specify fee for applicator's license and license renewal.	June 26, 1998 – Public Hearing. Pending approval by Department of Finance. January 20, 2000 – Public Hearing - Board voted to adopt. March 13, 2002 disapproved by OAL. April 12, 2002 Public Hearing: Board voted to take no action. May 5, 2002: Rulemaking file submitted to the Director. July 7, 2002 file disapproved, DCA opposed approval due to Board's current fund condition. April 4, 2003 - Public Hearing - Board voted to adopt. February 14, 2004 Rulemaking File expired due to Executive Order. Noticed for Public Hearing: April 8, 2005. Adopted by the Board. April 2005 - DCA opposed proposal. Withdrawn from
1948	Applicator license/renewal fee lowered to \$10, Operator license/renewal fee lowered to \$120.	rulemaking file on April 28, 2005 for separate submission.  Noticed for Public Hearing: October 7, 2005.  Adopted by the Board. August 25, 2006 – Approved by the Office of Administrative Law.
	Field Representative – Increase field representative examination fee.	October 15, 1999 – Public Hearing - Adopted by the Board. January 20, 2000 Board decided to drop this section.
1950	Continuing Education - Deletes outdated renewal requirements.	August 12, 1996 - Approved by the Office of Administrative Law.

1950	Applicator Continuing Education – Establish and specify number and type of continuing education hours required for renewal of applicator's license. At April 2005 Hearing CE hours were changed to 12 hrs total, 8 covering pesticide application/use and 4 covering SPC Act & its rules & regulations or structural pest related agencies' rules & regulations.	June 26, 1998 - Public Hearing. Pending approval by Department of Finance.  January 20, 2000 - Public Hearing Board voted to adopt. March 13, 2001 disapproved by the OAL. April 12, 2002 - Public Hearing.  Board voted to adopt. Disapproved by the Director July 7, 2002.  April 4, 2003 - Public Hearing - Board voted to proceed after 15-Day Notice. Notice mailed June 11, 2003, final comments due June 30, 2003. February 14, 2004  Rulemaking File expired due to Executive Order. Noticed for Public Hearing: April 8, 2005. Board voted to proceed after a 15-Day Notice. Notice mailed: May 27, 2005. March 21, 2006 - Approved by the Office of Administrative Law.
1950	Continuing Education - Deletes language regarding Wood Roof Cleaning & Treatment Continuing Education - Hours.	Change without Regulatory Effect - Approved by the Office of Administrative Law effective March 26, 2002.
	Continuing Education - To establish four hours in ethics for license renewal of Operators and Field Representatives.	Noticed for April 23, 2004 Bd. Mtg. Matter considered and rejected at July 23, 2004 Special Mtg. Withdrawn July 2004 with Notice of Decision Not to Proceed.
1950	Continuing Education - Requires that branch 2 and/or 3 licensees gain continuing education hours in structural Integrated Pest Management as part of their license renewal requirements.	Noticed for the April 18, 2008 Board  Meeting.  April 18, 2008 - Public Hearing - Board approved to adopt after proposed language modified with a 15-day public comment period.  June 26, 2008 - Rulemaking file submitted to DCA for Director review.  November 18, 2008 - Clarification of the effective date needed for section 1950 of the rulemaking file.  January 6, 2009 - Rulemaking file submitted to DCA for Director review.  March 20, 2009 - Approved by the Office of Administrative Law.

1950	CE IPM Review Committee's Recommended Continuing Education Amendments	October 1, 2018 — Staff Preparing Regulatory Proposal
1950.1	Armed Services Exemption – Grants a one- year extension for a licensee to complete his/her continuing education requirements if his/her license expired while serving for the United States armed services.	Noticed for the January 23, 2009 Board Meeting. January 23, 2009 - Public hearing, Board voted to send out 15-day notice of modified text. February 9, 2009 - Notice of modified text sent out.  June 10, 2009 - Rulemaking file submitted to DCA for Director review. August 5, 2009 - Received approved rulemaking file from DCA. August 5, 2009 - Final rulemaking file submitted to OAL. September 16, 2009 - Approved by the Office of Administrative Law

1950.5	CE IPM Review Committee's Recommended Continuing Education Amendments	October 1, 2018 — Staff Preparing Regulatory Proposal
1950.5(c),(d)(g),(h),[g)	Continuing Education - Requires that course providers administer a second examination.	March 13, 1996 - Approved by the Office of Administrative Law.
1950.5(c),(d)(g),(h),[g)	Continuing Education Requirements, Hour Value System, removal of language regarding wood roof cleaning and treatment.	March 26, 2002 - Approved by the Office of Administrative Law
1950.5	Hour Value System - Require all C.E. providers to administer written tests after licensees complete approved courses in technical or rules and regulations; equivalent activities will no longer be granted C.E.; Board mtg. attendance will drop to 4 hrs total C.E. credit - 1 hr General Ed and 1 hr Rules & Regs per meeting.	Noticed for the April 23, 2004 Board Meeting. Approved by the Office of Administrative Law - July 6, 2005.

1950.5	Hour Value System - Establish an hour value for board approved Integrated Pest Management courses.	Noticed for the April 18, 2008 Board  Meeting.  April 18, 2008 - Public Hearing - Board approved to adopt.  June 26, 2008 - Rulemaking file submitted to DCA for Director review.  November 18, 2008 - Clarification of the effective date needed for section 1950 of the rulemaking file.  January 6, 2009 - Rulemaking file submitted to DCA for Director review.  March 20, 2009 - Approved by the Office of Administrative Law.
	Continuing Education - Makes distinction between field representative, operators and applicators.	August 12, 1996 - Approved by the Office of Administrative Law.
1951	Continuing Education – Licensing examination to replace continuing education examination.	October 15, 1999 – Public Hearing - referred to committee.  April 6, 2000 – Committee recommendations to the Board.
	Examination in Lieu of C.E To change references of operator/field representative to "licensee" and clarify that a passing score is 70% or higher.	Noticed for the April 23, 2004 Board Meeting. Approved by the Office of Administrative Law - July 6, 2005.
1953	CE IPM Review Committee's Recommended Continuing Education Amendments	October 1, 2018 — Staff Preparing Regulatory Proposal
1953(a)	Providers of Continuing Education - C.E. providers that providers do not charge an attendee fee to be exempt from the \$25 course approval fee. Thus eliminating financial burden to the provider.  Adopt a revised form 43M-18.	January 11, 2001 - Public Hearing - Board voted to adopt. February 2001-DCA opposed proposal.  July 18, 2003 - Public Hearing Board voted to adopt new form. March 17, 2004 Rulemaking file on hold due to Executive Order.  Approved by Office Of Administrative Law on August 12, 2004.

1953(f)(3)	Approval of Activities - Revised Form.	July 18, 2003 Public Hearing - Board voted to adopt the revised form.  Approved by Office Administrative Law, Section 100 Change effective on May 2, 2003.
1953(f)(3)	Section 100 Change – Typo. The dates for the form numbers were duplicated. Delete (New 5/87) and replace it with (Rev. 11/99) Revise the form - Return it back to 43M-38 (5/87). Current form (Rev.11/99) is obsolete.	Section 100 Change to OAL on May 13, 2004. Withdrawn June 17, 2004. Change requires language be re-noticed. Board needs to notice for public hearing.
	Correction of reversal of form numbers 43M-38 and 43M-39 in language and 43M-39 given Rev.10/03 date.	Approved by the Office of Administrative Law - July 6, 2005
1953(3) (A)(C)(D)(E) (4)(g)	Approval of Activities - Clean up language in item (3)(A), define "syllabus" in item (3)(C), revision of form No 43M-39, and language regarding the cost of postage in item (3)(D), delete the words "or products" and language regarding the approval for meetings of inhouse staff or employee training being approved in item (4)(g).	Noticed for April 23, 2004 Board Meeting. Approved by the Office of Administrative Law - July 6, 2005.
1953(f)(3)(D)	Approval of Activities - Remove the requirement that continuing education course providers provide course evaluation forms to students.	Noticed for the April 18, 2008 Board Meeting.  April 18, 2008 - Public Hearing - Board approved to adopt.  June 26, 2008 - Rulemaking file submitted to DCA for Director review.  November 18, 2008 - Clarification of the effective date needed for section 1950 of the rulemaking file.  January 6, 2009 - Rulemaking file submitted to DCA for Director review.  March 20, 2009 - Approved by the Office of Administrative Law.

1960	Fingerprint Requirement – requires all licensees who have not previously been fingerprinted to do so upon license renewal	March 26, 2015 - Text Approved by Board Members June 4, 2015 - Noticed for Public Hearing July 23, 2015 - Public Hearing – Adopted by Board. August 20, 2015 – To DCA for review. December 1, 2015 – Approved by DCA, to Agency for review. January 21, 2016 – To OAL for final review. February 29, 2016 – Approved and effective.
	Standards - Construction elements allowing passage of fumigants.	October 12, 2000 - Public Hearing - Board voted to adopt with modifications. November 23, 2001 - Approved by the Office of Administrative Law.
1970	Fumigation Log - Delete the reporting requirements of the name and address of the guard, and delete the date and hour the police department was notified of fumigation. Rev. form 43M-47.	January 11, 2001 - Public Hearing - Board voted to adopt. Rulemaking file not complete by deadline of December 1, 2001.  April 4, 2003 - Public Hearing. Due to errors in language, re-noticed for July 18, 2003 - Public Hearing. Board voted to adopt new language and revise log form number 43M-47. Approved by Office of Administrative Law on August 12, 2004.
1970	Add additional fumigant calculators on the Fumigation Log	Noticed for Public Hearing July 20, 2007. July 20, 2007 - Public Hearing. Board voted to adopt. September 26, 2007 language under DCA legal review by the Director. March 17, 2008 - Approved by the Director, filed with the Office of Administrative Law. April 29, 2008 - Approved by the Office of Administrative Law.

		July 18, 2003 - Board voted to place on October 17, 2003 board meeting agenda. October 17, 2003 Board voted not to adopt.
1970	Standards and Record Requirements - Fumigating contractors will be required to provide a complete fumigation log to its prime contractors and retain the log for 3 years.	Noticed for Public Hearing July 20, 2007. July 20, 2007 - Public Hearing. Board voted to adopt. September 26, 2007 language under DCA legal review by the Director. March 17, 2008 - Approved by the Director, filed with the Office of Administrative Law. April 29, 2008 - Approved by the Office of Administrative Law.
1970.3	Securing Against Entry - Includes clamshell locks and pins in general description of secondary locks.	March 13, 1996 - Approved by the Office of Administrative Law.
1970.4	Pesticide Disclosure Requirement - Requires primary contractor to retain OFN for three years.	July 28, 1995 - Board voted to adopt.  Technical error - Necessary to re-notice all amendments.
	Pesticide Disclosure Requirement - Includes the required Occupants Fumigation Notice into regulation.	May 12, 1995 - Public Hearing. Referred to the Laws and Regulations Committee for further review. December 8, 1995 - Board adopted revision to the OFN. Technical error-Necessary to re-notice all amendments.
1970.4	Pesticide Disclosure Requirement - Requires primary contractor to retain Occupants Fumigation Notice (OFN) for three years. Includes the required OFN into regulation.	April 28, 1998 – Approved by the Office of Administrative Law.
	Pet Notification - Amends OFN to include notification regarding neighboring pets.	January 20, 2000 - Board voted to adopt. June 23, 2000 Board voted not to proceed. January 2005 Board voted to proceed. Noticed for Public Hearing July 15, 2005. December 30, 2005 - Approved by the Office of Administrative Law.

1970.4	Disclosure Requirement - Deletes language regarding Wood Roof Cleaning & Treatment Pesticide.  Disclosure Requirement - Include presence of conduit language on the OFN	March 26, 2002 change without regulatory effect approved by the Office of Administrative Law.  Noticed for Public Hearing July 20, 2007. July 20, 2007 - Public Hearing. Board voted to adopt.  September 26, 2007 language under DCA legal review by the Director.  March 17, 2008 – Approved by the Director,
		filed with the Office of Administrative Law.  April 29, 2008 – Approved by the Office of  Administrative Law.  January 15, 2015 - Text Approved by Board
1970.4	Allows for signed Occupants Fumigation Notice to be in electronic format	Members June 4, 2015 - Noticed for Public Hearing July 23, 2015 - Public Hearing. August 20, 2015 - To DCA for review. February 17, 2016 - To OAL for final review. March 22, 2016 - Approved to become effective July 1, 2016. Industry notified May 31, 2016.
1970.4	Pesticide Disclosure Requirement  Additional Updates Allowing Information About Pesticide Use to be Distributed Electronically.	October 8, 2015 – Language approved by the Board  January 30, 2018 – Proposed Language Disapproved by DCA Legal  October 1, 2018 – Legal Counsel Preparing Proposed Language

1970.5	Aeration - Clarifies that a field representative or operator must be present during aeration.  Amendment regarding when licensee is required to be present to correlate with DPR's CAP regulation. – DEAD 05/10/12	August 12, 1996 – Approved by the Office of Administrative Law.  December 22, 2010 Notice, ISOR, Language, Std 399 submitted to Linda Otani for review/approval by DPR.  March 11, 2011 DPR request this regulation be repealed.  April 28, 2011 Board voted to repeal regulation.  May 10, 2012 – Public Hearing – Board voted to non-adopt proposed repeal of regulation.
1970.6	Fumigation - Construction elements allowing passage of fumigants.	December 16, 1998 - Public Hearing - Action postponed until further input.  June 18, 1999 - Board voted to adopt with modifications.  November 23, 2001 - Approved by the Office of Administrative Law.
1971	Gas Masks – Removed the subsection concerning gas masks. B&P Code section 8505.15 was repealed January 1, 2008	Noticed for Public Hearing July 24, 2009 July 24, 2009 – Board members voted to carryover to next board meeting. October 22, 2009 – Board members voted not to proceed with amending the regulation.
	Re-entry Requirements - Requires use of proper testing equipment and changes printing on re-entry notice from red to black.	March 13, 1996 - Approved by the Office of Administrative Law.
1973	Notice of Re-entry – Replace a product trade	Noticed for Public Hearing July 20, 2007. July 20, 2007 - Public Hearing. Board voted to adopt. September 26, 2007 language under DCA legal review by the Director.
1973	name with the active ingredient.	March 17, 2008 – Approved by the Director, filed with the Office of Administrative Law.  April 29, 2008 – Approved by the Office of Administrative Law.

1974	Fumigation Warning Signs - Specifies size and placement of signs.  Fumigation warning signs to include the name of the fumigant used and its active ingredient.	March 13, 1996 - Approved by the Office of Administrative Law.  Noticed for Public Hearing January 21, 2010 Public hearing held January 21,2010 - Board voted to adopt.  May 18, 2010, Rulemaking File submitted to DPR for approval.  September 23, 2010 DPR returned package with approval signatures.  September 30, 2010 Rulemaking File submitted to OAL.  November 8, 2010 approved by OAL
1983(i)	Handling, Use and Storage of Pesticides - Clarification of bait station (rodenticide and avicide) reference.	December 16, 1998 - Public Hearing December 30, 1998 - Notice of Modification mailed. January 11, 2001 - Public Hearing - Board voted to adopt. Rulemaking File not complete by deadline date of December 1, 2001.  April 4, 2003 - Public Hearing - Board voted to adopt. February 14, 2004 Rulemaking File expired due to Executive Order. Noticed for Public Hearing: April 8, 2005. Adopted by the Board. March 21, 2006 - Approved by the Office of Administrative Law.
1983(j)	Language regarding the removal of termite bait stations when a contract for service is terminated.	July 18, 2003 - Public hearing Board voted to adopt with proposed amendments. Approved by the Office of Administrative Law on August 12, 2004

1984	Proposed regulation to define structural Integrated Pest Management	October 2007 – Noticed for Public Hearing to adopt new section.  March 10, 2008 – Final rulemaking file submitted to the Department.  June 6, 2008 – Approved by the Director, filed with the Office of Administrative Law.  July 9, 2008 - Approved by the Office of Administrative Law.  Noticed for the January 23, 2009 Board Meeting.  January 23, 2009 - Public hearing, Board voted to adopt with proposed amendments.  June 10, 2009 - Rulemaking file submitted to DCA for Director review.  August 5, 2009 - Received approved rulemaking file from DCA.  August 5, 2009 - Final rulemaking file submitted to OAL.  September 16, 2009 - Approved by the Office of Administrative Law
1990	Report Requirements - Defines separated reports and structural members, and addresses reporting requirements for carpenter ants/bees.	March 13, 1996 - Approved by the Office of Administrative Law.
1990	Report Requirements Under Section 8516  Makes various changes to clarify and update existing language.	October 1, 2018 - Staff Preparing Regulatory Proposal.
1990(g)	Report Requirements – Inspection of wooden decks.	April 28, 1998 - Approved by the Office of Administrative Law.
1990.1	Report Requirements - Repeal language under Section 8516.1(b) and (c)(1)(8).	March 26, 2002 change without regulatory effect - Approved by the Office of Administrative Law.

1991	Report Requirements - Eliminates requirement to cover accessible pellets and frass, and requires replacement of wood members no longer serving purpose to support or adorn the structure.	March 13, 1996 - Approved by the Office of Administrative Law.
1991(A)(B) (C)	Report Requirements - Specifies the restoration, refastening, removal or replacement of wooden decks, wooden stairs or wooden landings.	April 28, 1998 - Approved by the Office of Administrative Law.
1991(a)(5) 1991(a)(5)	Report Requirements – Allows for reinforcement of fungus infected wood and permits surface fungus to be chemically treated or left as is once the moisture is eliminated.	April 3, 1996 – Approved by the Office of Administrative Law.
	Report Requirements – Requires registered companies to report that local treatment and/or corrective work will not eradicate other undetected infestations which may be located in other areas of the structure.	October 6, 1995 – Public Hearing - Board voted to non-adopt. Referred to committee to consider the matter of an all-encompassing disclosure statement on all inspection reports addressing inaccessible areas and potential infection and infestations.
1991(a)(8)c)	Report Requirements - Local treatment notification.	October 15, 1999 Public Hearing - Board voted to adopt.  January 11, 2001 - Referred back to committee for comments.  October 19, 2001 Public Hearing - Board voted to non-adopt, referred language back to committee. August 31, 2002 publication date expired.  October 11, 2002 - Re-noticed -Public Hearing. Board voted to adopt.  January 8, 2003 language under DCA legal
1991 (cont.)		review by the Director. February 21, 2003 filed with the Office of Administrative Law. Rulemaking file withdrawn from OAL March 27, 2003 pending a 15-Day Notice. File resubmitted to OAL. July 26, 2003 - Approved by the Office of Administrative Law.

1991(a)(9)	Report Requirement - Corrective Measures for extermination of a subterranean termite infestation and termite tubes. Exception for above ground termite bait stations.	January 11, 2001 Board voted to amend 1991(a)(9). October 19, 2001 Board passed unanimously to modify language with a 15-Day Notice. Notice mailed January 28, 2002, 1 year past the publication date. Bd. needs to re-notice. Noticed for Public Hearing July 15, 2005. December 30, 2005 – Approved by the Office of Administrative Law.
1991(13)(A) (B)(C)	Report Requirements - Delete specific recommendations regarding wooden decks, wooden stairs and landings. Language already exists in 1991(a)(5).	October 19, 2001 Board voted to repeal the language. August 31, 2002 publication date expired.  April 4, 2003 - Public Hearing. Board voted to go forward after 15-Day Notice. Notice mailed June 11, 2003, final comments due June 30, 2003. February 14, 2004 rulemaking file expired due to Executive Order. Noticed for Public Hearing: April 8, 2005. Adopted by the Board. March 21, 2006 - Approved by the Office of Administrative Law.
1991(b)(10)	Report Requirements – Non-substantive correction to heading.	March 28, 2000 – Filed with the Office of Administrative Law.  May 15, 2000 - Approved by the Office of Administrative Law.
1991	Report Requirements  Makes Various Changes to the Language in Order to Promote Clarity and Consistency	October 1, 2018 - Staff Preparing Regulatory Proposal

	Secondary Recommendations	
1992	Changes Language to Specifically State That Secondary Recommendations Must be Listed on the Notice of Work Completed / Not Completed	October 1, 2018 - Staff Preparing Regulatory Proposal
1993(a)(b) (c)(d)(e)	Inspection - Specifies that reports shall comply With 8516 and defines different types of inspection reports. Also clarifies difference between duties performed by a field representative, operator and applicator.	March 13, 1996 - Approved by the Office of Administrative Law.
	Inspection Reports - Clarifies that the requirement applies to licensed field representative and licensed operators, not license applicators.	August 12,1996 - Approved by the Office of Administrative Law.
1993	Deletes language regarding the filing of stamps.	April 4, 2003 - Public Hearing - Board voted to adopt. February 14, 2004 rulemaking file expired due to Executive Order. Noticed for Public Hearing: April 8, 2005. Adopted by the Board. March 21, 2006 - Approved by the Office of Administrative Law.
1993, 1998	Report Requirements – To eliminate reference to filing inspection reports and notices of work completed and require companies to file the address of properties inspected.	January 20, 2000 - Public Hearing Board voted to adopt. March 13, 2001 Rulemaking File disapproved by the Office of Administrative Law.  April 4, 2003 - Public Hearing. Sec.1996 proceed with a 15-Day Notice, Sec. 1996.3 re-notice for July 18, 2003 meeting, Sec.1993 & 1998 Board voted to adopt. February 14, 2004 Rulemaking File expired due to Executive Order. Noticed for Public Hearing: April 8, 2005. Adopted by the Board. March 21, 2006 - Approved by the Office of Administrative Law.

1993.1	Reinspection Language – To adopt section 1993.1 to require Wood Destroying Pest and Organism Inspection Reports to contain statement that work performed by others must be reinspected within ten days of request at a charge no greater than the original inspection fee.	May 22, 1998 – Rulemaking file disapproved by Office of Administrative Law. December 16, 1998 – Public Hearing. December 30, 1998 - Notice of Modifications mailed. January 11, 2001 - Public Hearing. Board voted to adopt. December 1, 2001 rulemaking file not completed by deadline. April 4, 2003 re-noticed for Public Hearing. Approved by the Office of Administrative Law - July 6, 2005.
1993.2	Bait Stations.	October 19, 2001 Board passed to adopt new language. Publication date expired. October 11, 2002 language re-noticed for Board meeting. December 23, 2002 rulemaking file under review. January 8, 2003 under DCA legal review by the Director. February 21, 2003 filed with the Office of Administrative Law. March 27, 2003 rulemaking file withdrawn from OAL pending a 15-Day Notice. July 26, 2003 - Approved by the Office of Administrative Law.
1993.2	Termite Bait Stations.  Defines above and below ground termite bait stations as devices containing pesticide bait. Specifies that use of termite bait stations are a control service agreement.	October 13, 2016 – Public Hearing was Conducted and Board Directed Staff to Begin Final Rulemaking Process  October 6, 2017 – Approved by Office of Administrative Law.  Effective January 1, 2018

1993.3	In-Ground Termite Bait Stations.	October 12, 2001 Board passed to adopt new language. Publication date expired. Language re-noticed for October 11, 2002 Board meeting. Rulemaking package under review 12-23-02. January 8, 2003 – Under DCA legal review by the Director. February 21, 2003 filed with the Office of Administrative Law. March 27, 2003 rulemaking file withdrawn from OAL pending a 15-Day Notice. July 26, 2003 - Approved by the Office of Administrative Law.
1993.3	In-Ground Termite Bait Stations.  Being repealed. Language in 1993.2 & 1993.4 make this section obsolete.	October 13, 2016 – Public Hearing was Conducted and Board Directed Staff to Begin Final Rulemaking Process  October 6, 2017 – Approved by Office of Administrative Law.  Effective January 1, 2018
1993.4	Termite Monitoring Devices.  New section defining termite monitoring devices and providing guidelines for their installation and use.	October 13, 2016 – Public Hearing was Conducted and Board Directed Staff to Begin Final Rulemaking Process  October 6, 2017 – Approved by Office of Administrative Law.  Effective January 1, 2018
1996	Pre-Treatment - Specifies Pre-Treatment Inspection Report/Notice of Intent form.  Inspection Report - Includes a first page of the Inspection Report for scanning purposes.	August 30, 1996 - Public Hearing. Amendment was not adopted. Board referred to Pre-Treatment Committee.  August 13, 1998 - Approved by the Office of Administrative Law.

1996	Requirements for Reporting All Inspections Under Section 8516(b).	January 18, 2002 Public Hearing - Board voted to adopt. Form Rev. date completed 1-15-03. April 4, 2003 Board again voted to adopt regulatory lang. Noticed for Public Hearing July 15, 2005. December 30, 2005 – Approved by the Office of Administrative
1996.2	Revised Inspection Report Form and Standard Notice of work Completed and Not Completed.	Law.  December 16, 2002 - Approved by the Office of Administrative Law.
1996.1	Inspection and Completion Tags - The completion tag shall include the method(s) of treatment.  Completion tag to include the trade name of any pesticide used and active ingredient.	July 18, 2003 Public Hearing - Board members voted to adopt. Rulemaking file placed on hold due to Executive Order. Approved by Office of Administrative Law August 12, 2004 Noticed for Public Hearing January 21, 2010 Public hearing held January 21,2010 – Board voted to adopt. May 18, 2010, Rulemaking File submitted to DPR for approval. September 23, 2010 DPR returned package with approval signatures. September 30, 2010 Rulemaking File submitted to OAL. November 8, 2010 approved by OAL.
	Completion Notice – Includes a first page of the Completion Notice for scanning purposes.	August 13, 1998 – Approved by the Office of Administrative Law.
1996.2	Revised Completion Notice Form.	January 18, 2002 Public Hearing - Adopted by the Board.  December 16, 2002 - Approved by the Office of Administrative Law.

1996.3	Requirements for Reporting property addresses.  Adopt new language that will provide guidelines of what is required when filing the WDO form with the Board.  Increase filing fee to \$2.00 on form  Increase filing fee to \$2.50 on form	March 17, 2003 Rulemaking file on hold due to Executive Order. July 18, 2003 Public Hearing - Board voted to adopt after a 15-Day Notice of modified language. Approved by Office of Administrative Law July 13, 2004  Noticed for Public Hearing July 24, 2009 July 24, 2009 - Board voted to adopt. Sept. 3, 2009 - Rulemaking file submitted to DCA for review.  January 21, 2010, Board considered 15-day comments to increase fee to \$2.50. Board voted to adopt at \$2.50 per activity. May 20, 2010 Office of Administrative Law approves Rulemaking File to increase fee to
1997	Filing Fee – Inspection Reports and Completion Notices.  Filing Fee – Inspection Reports and Completion Notices – Fee increase.	\$2.50 effective July 1, 2010.  October 15, 1996 – Approved by the Office of Administrative Law.  December 16, 1998 – Public Hearing Adopted by Board. Rulemaking file not submitted based on recommendations from DCA that fee increase not necessary to fund condition.

		December 16, 1999 – Non-substantive change without regulatory effect filed with the Office of Administrative Law.  January 28, 2000 - Approved by the Office of Administrative Law.
	Filing Fee – WDO Activity Filing Fee.	Noticed for Public Hearing July 24, 2009 July 24, 2009 Board voted to adopt. Sept. 3, 2009 – Rulemaking file submitted to DCA for review.
1997		Dec. 28, 2009 – Board passed unanimously to modify language with a 15-Day Notice. Notice mailed on December 29, 2009, final comments due January 13, 2010
1997	Filing Fee – Increase WDO Activity Filing Fee to \$2.00.	January 21, 2010, Board considered 15-day comments to increase fee to \$2.50. Board voted to adopt at \$2.50 per activity.  May 20, 2010 Office of Administrative Law
	15-Day Modified Text to increase fee to \$2.50 per activity effective July 1, 2010	approves Rulemaking File to increase fee to \$2.50 effective July 1, 2010.
		April 19, 2018 – Board Approved Language to Raise Fee From \$2.50 to \$3.00 per Property Address Reported
		May 24, 2018 – Staff Submitted Regulatory Proposal to DCA Legal
		October 1, 2018 – Regulatory Proposal Undergoing Pre-Review at DCA

1999.5	Advertising Guidelines.	June 18, 1999 – Public Hearing August 27, 1999 – Modified language mailed November 22, 2001 approved by the Office of Administrative Law. September 24, 2002 non-substantive change without regulatory effect approved by the Office of Administrative Law.  October 2007 – Noticed for Public Hearing to amend the current regulation. January 2008 – Board moved to request further analysis by Legal Counsel and staff. June 26, 2008 - Rulemaking file submitted to DCA for Director review.
1999.5 (cont.)	Include an introductory statement to clarify the purpose of the regulation. Clarify that certain subsections pertain only to Branch 3 companies.	September 11, 2008 - Rulemaking file submitted to OAL for approval. October 24, 2008 - Rulemaking file disapproved by OAL. February 19, 2009 - Task Force meeting held to discuss OAL's disapproval March 2009 - Extension granted by OAL. June 2, 2009 - Resubmittal submitted to DCA for Director review. June 8, 2009 - Resubmittal submitted to OAL for approval. July 17, 2009 - Approved by OAL



Bill Information

California Law

Publications .

Other Resources

My Subscriptions

My Favorites

SB-1481 Structural pest control: certification: fumigation: penalties. (2017-2018)





Date Published: 09/19/2018 09:00 PM

#### Senate Bill No. 1481

### CHAPTER 572

An act to amend Sections 8517, 8519, 8519.5, 8520, 8528, 8550, 8553, 8613, 8619, 8623, 8663, 8674, and 8698.3 of, and to add Sections 8504.2, 8504.3, 8504.4, and 8623.5 to, the Business and Professions Code, relating to structural pest control.

[ Approved by Governor September 19, 2018. Filed with Secretary of State September 19, 2018. 1

### LEGISLATIVE COUNSEL'S DIGEST

SB 1481, Hill. Structural pest control: certification: fumigation: penalties.

Existing law establishes the Structural Pest Control Board within the Department of Consumer Affairs to define, license, and regulate structural pest control operators and companies. Existing law authorizes the board to revoke, suspend, or deny a license under the Structural Pest Control Act and authorizes the director to levy a civil penalty against a person for any violation of the act. Existing law also authorizes county agricultural commissioners, among other things, to levy fines against Branch 1 registered companies for any major violations, as defined by the act. Existing law repeals the provisions relating to the board on January 1, 2019.

This bill would authorize a person whose license or registration has been revoked, suspended, or surrendered, or who has been placed on probation, to petition the board, after specified minimum time periods, for reinstatement or modification of the penalty. The bill would additionally authorize specified county agricultural commissioners to levy a civil penalty against a person for any violation of the act. The bill would also authorize the board and all county agricultural commissioners to levy fines for serious or moderate violations, as defined. The bill would also change the minimum and maximum penalties applicable for a violation of this chapter. The bill would extend the provisions establishing the board until January 1, 2023.

Existing law prohibits a registered company or licensee from commencing work on a contract relating to the absence or presence of wood destroying pests or organisms until an inspection has been made, as provided, and an inspection report has been delivered to the person requesting the inspection and to the property owner. Existing law authorizes a person who orders an inspection report to also request a certification on whether evidence of the absence or presence of wood destroying pests or organisms was found and requires the registered company performing the inspection to provide this certification, as specified.

Existing law requires a Branch 1 registered company who performs a fumigation following an inspection by a Branch 3 registered company to issue a specified certification of completion of the fumigation to the Branch 3 registered company. Upon failure of a fumigation, existing law requires the Branch 1 registered company that performed the fumigation to verify the need for refumigation and file specified reports with the Branch 3 registered company and with the consumer.

This bill would require a specified certification when the property is free of evidence of active infestation or infection and require all certifications to be included on the complete, limited, supplemental, or reinspection reports. The bill would, where the consumer has directly contracted for the fumigation, require the Branch 1

registered company to also provide the certification of completion of the fumigation to the consumer who ordered the fumigation and would require the Branch 1 registered company to provide a warranty for fumigation to the owner or the owner's designated agent. The bill would specify additional requirements for a potential failed fumigation, including a requirement that, when a consumer authorizes a Branch 3 registered company to subcontract the fumigation to a Branch 1 registered company, the Branch 3 registered company verify the need for a refumigation and issue an inspection report. The bill would require, when the consumer elects to contract directly with a Branch 1 registered company to perform a fumigation, the Branch 1 registered company to take additional specified actions.

Existing law makes a violation of the act a crime.

Because a violation of the bill's requirements would be a crime, the bill would impose a state-mandated local program.

The California Constitution requires the state to reimburse local agencies and school districts for certain costs mandated by the state. Statutory provisions establish procedures for making that reimbursement.

This bill would provide that no reimbursement is required by this act for a specified reason.

Vote: majority Appropriation: no Fiscal Committee: yes Local Program: yes

## THE PEOPLE OF THE STATE OF CALIFORNIA DO ENACT AS FOLLOWS:

**SECTION 1.** Section 8504.2 is added to the Business and Professions Code, to read:

- **8504.2.** "Control" means a pest population management system that utilizes techniques to reduce and maintain pest populations at levels below those causing economic or material injury, or to manipulate the populations to prevent causing such injury.
- **SEC. 2.** Section 8504.3 is added to the Business and Professions Code, to read:
- **8504.3.** "Eradication" means the total elimination of a pest from a designated area. For purposes of this chapter, elimination and extermination shall have the same meaning as eradication.
- **SEC. 3.** Section 8504.4 is added to the Business and Professions Code, to read:
- **8504.4.** "Inspection" is the act of a field representative or operator physically performing an onsite assessment of real property.
- SEC. 4. Section 8517 of the Business and Professions Code is amended to read:
- **8517.** Any work contract, billing, agreement, letter of work completed, or other correspondence or document expressing an opinion or making a statement relating to the presence or absence of wood destroying pests or organisms, shall refer to the inspection report required by Section 8516. These documents shall indicate specifically whether all of the recommended work as set forth in the inspection report was completed, or, if not, the document shall indicate specifically which recommendations were not completed.
- **SEC. 5.** Section 8519 of the Business and Professions Code is amended to read:
- **8519.** Certification as used in this section means a written statement by the registered company attesting to the statement contained therein relating to the absence or presence of wood-destroying pests or organisms and, listing such recommendations, if any, which appear on an inspection report prepared pursuant to Section 8516, and which relate to (1) infestation or infection of wood-destroying pests or organisms found, or (2) repair of structurally weakened members caused by such infestation or infection, and which recommendations have not been completed at the time of certification.

Any registered company which makes an inspection report pursuant to Section 8516, shall, if requested by the person ordering the inspection report, prepare and deliver to that person or his or her designated agent, a certification, to provide:

(a) When the inspection report prepared pursuant to Section 8516 has disclosed no infestation or infection: "This is to certify that the above property was inspected on \_\_\_\_\_ (date(s)) in accordance with the Structural Pest

Control Act and rules and regulations adopted pursuant thereto, and that no evidence of active infestation or infection was found in the visible and accessible areas."

- (b) When the inspection report prepared pursuant to Section 8516 discloses infestation or infection and the notice of work completed prepared pursuant to Section 8518, or when the reinspection report prepared pursuant to Section 8516, indicates that all recommendations to remove that infestation or infection and to repair damage caused by that infestation or infection have been completed: "This is to certify that the property described herein is now free of evidence of active infestation or infection in the visible and accessible areas."
- (c) When the inspection report prepared pursuant to Section 8516 discloses infestation or infection and the notice of work completed prepared pursuant to Section 8518 indicates that the registered company has not completed all recommendations to remove that infestation or infection or to repair damage caused by it: "This is to certify that the property described herein is now free of evidence of active infestation or infection in the visible and accessible areas except as follows: \_\_\_\_\_ (describing infestations, infections, damage or evidence thereof, excepted)."
- (d) When a limited inspection report prepared pursuant to Section 8516 has disclosed no infestation or infection: "This is to certify that a limited inspection report was conducted on the area of the property described herein on \_\_\_\_\_ (date(s)) in accordance with the Structural Pest Control Act and rules and regulations adopted pursuant thereto, and has revealed no evidence of active infestation or infection in the visible and accessible areas inspected."

This certification shall be included on and made part of the complete, limited, supplemental, or reinspection report prepared pursuant to Section 8516, and by a copy of the notice of work completed prepared pursuant to Section 8518, if any notice has been prepared at the time of the certification, or the certification may be endorsed on and made a part of that inspection report or notice of work completed.

- SEC. 6. Section 8519.5 of the Business and Professions Code is amended to read:
- **8519.5.** (a) After an inspection report has been prepared by a Branch 3 registered company pursuant to Section 8516, which discloses a wood destroying pest or organism that can be eradicated by fumigation, and the fumigation has been duly performed by a Branch 1 registered company, the Branch 1 registered company, on a company document that identifies the licensee performing the fumigation and the name and address of the registered company, shall issue the following certification: "This is to certify that the property located at \_\_\_\_\_ (address) was fumigated on \_\_\_\_\_ (date) for the extermination of \_\_\_\_\_ (target pest)." This certification shall be issued to the registered company that prepared the inspection report within five working days after completing the fumigation.
- (1) Where a consumer has authorized a Branch 3 registered company to subcontract the fumigation to a Branch 1 registered company, a copy of the certification pursuant to subdivision (a) shall accompany any inspection report, notice of work completed pursuant to Section 8518, or certification issued by the Branch 3 registered company.
- (2) Where the consumer has elected to contract directly with a Branch 1 registered company to perform a fumigation, the Branch 1 registered company shall provide the certification described in subdivision (a) to the consumer who ordered the fumigation.
- (b) A warranty for fumigation shall be provided in writing by the registered company contracting with the owner or the owner's designated agent.
- (c) In the event of a failed fumigation, the following shall apply:
- (1) When a consumer authorizes a Branch 3 registered company to subcontract the fumigation to a Branch 1 registered company, the Branch 3 registered company shall verify the need for a refumigation and issue an inspection report in accordance with Section 8516. The consumer shall not be charged for this inspection. Following completion of the refumigation, a new certification and any additional warranty or guarantee shall be issued to the owner or the owner's designated agent.
- (2) When the consumer elects to contract directly with a Branch 1 registered company to perform a fumigation, the Branch 1 registered company shall do all of the following:
- (A) Verify the need for a refumigation by obtaining a Branch 3 inspection at no charge to the consumer during the duration of a warranty or guarantee issued by the Branch 1 registered company.
- (B) Maintain with the original inspection report, on a company document, all of the following:

- (i) The name of the current owner of the structure fumigated, the address of the structure, and the date of the failed fumigation.
- (ii) An explanation of the need for refumigation.
- (iii) The proposed date for the refumigation. Following completion of the refumigation, a new certification and any additional warranty or guarantee shall be issued to the owner or the owner's designated agent.
- (C) Within five working days after the completion of the refumigation, the Branch 1 registered company, on a company document, shall file with the current owner, notification of the Branch 3 registered company whose report was used for the original fumigation, or refumigation. Any certification issued by the Branch 1 registered company shall also comply with subdivision (a), if applicable.
- SEC. 7. Section 8520 of the Business and Professions Code is amended to read:
- **8520.** (a) There is in the Department of Consumer Affairs a Structural Pest Control Board, which consists of seven members.
- (b) Subject to the jurisdiction conferred upon the director by Division 1 (commencing with Section 100), the board is vested with the power to and shall administer the provisions of this chapter.
- (c) It is the intent of the Legislature that consumer protection is the primary mission of the board.
- (d) This section shall remain in effect only until January 1, 2023, and as of that date is repealed, unless a later enacted statute, that is enacted before January 1, 2023, deletes or extends that date. Notwithstanding any other law, the repeal of this section renders the board subject to review by the appropriate policy committees of the Legislature.
- SEC. 8. Section 8528 of the Business and Professions Code is amended to read:
- **8528.** (a) With the approval of the director, the board shall appoint a registrar, fix his or her compensation, and prescribe his or her duties.
- (b) The registrar is the executive officer and secretary of the board.
- (c) This section shall remain in effect only until January 1, 2023, and as of that date is repealed, unless a later enacted statute, that is enacted before January 1, 2023, deletes or extends that date.
- SEC. 9. Section 8550 of the Business and Professions Code is amended to read:
- **8550.** (a) It is unlawful for any person to advertise, to engage in, or offer to engage in the business or practice of structural pest control, as defined in Section 8505, unless he or she is licensed under this chapter.
- (b) Notwithstanding subdivision (a), an unlicensed person may solicit pest control work on behalf of a structural pest control company only if the company is registered pursuant to this chapter, and the unlicensed individual does not perform or offer to perform any act for which an operator, field representative, or applicator license is required pursuant to this chapter. As used in this subdivision, to "solicit pest control work" means to introduce consumers to a registered company and the services it provides, to distribute advertising literature, and to set appointments on behalf of a licensed operator or field representative.
- (c) It is unlawful for an unlicensed person, soliciting pest control work on behalf of a registered structural pest control company pursuant to subdivision (b), to perform or offer to perform any act for which an operator, field representative, or applicator license is required, including, but not limited to, performing or offering pest control evaluations or inspections, pest identification, making any claims of pest control safety or pest control efficacy, or to offer price quotes other than what is provided and printed on the company advertising or literature, or both.
- (d) It is also unlawful for any unlicensed person to offer any opinion, or to make any recommendations, concerning the need for structural pest control work in general, or in connection with a particular structure.
- (e) It is unlawful for any firm, sole proprietorship, partnership, corporation, association, or other organization or combination thereof to engage or offer to engage in the practice of structural pest control, unless registered in accordance with Article 6 (commencing with Section 8610).
- SEC. 10. Section 8553 of the Business and Professions Code is amended to read:

- **8553.** Any person who violates any provision of this chapter, or who conspires with another person to violate any provision of this chapter, is guilty of a misdemeanor, and is punishable by a fine of not less than fifty dollars (\$50) nor more than five thousand dollars (\$5,000), or by imprisonment in the county jail for not more than six months, or by both such fine and imprisonment.
- SEC. 11. Section 8613 of the Business and Professions Code is amended to read:
- **8613.** A registered company which changes the location of its principal office or any branch office or which changes its qualifying manager, branch supervisor, officers, sole proprietors, partners, or its bond or insurance shall notify the registrar on a form prescribed by the board of that change within 10 days thereafter. A fee for filling those changes shall be charged in accordance with Section 8674.
- SEC. 12. Section 8619 of the Business and Professions Code is amended to read:
- 8619. (a) An inspection tag shall be posted whenever an inspection for wood destroying pests or organisms is made.
- (b) If the registered company completes any work with respect to wood destroying pests or organisms, it shall post a completion tag next to the inspection tag, unless both the inspection and completion tags are combined on the same form.
- SEC. 13. Section 8623 of the Business and Professions Code is amended to read:
- **8623.** (a) Notwithstanding Section 8620 or any other provision of law, the board may revoke, suspend, or deny at any time a license under this chapter on any of the grounds for disciplinary action provided in this chapter. The proceedings under this section shall be conducted in accordance with Chapter 5 (commencing with Section 11500) of Part 1 of Division 3 of Title 2 of the Government Code, and the board shall have all the powers granted therein.
- (b) The board may deny a license to an applicant on any of the grounds specified in Section 480.
- (c) In addition to the requirements provided in Sections 485 and 486, upon denial of an application for a license, the board shall provide a statement of reasons for the denial that does the following:
- (1) Evaluates evidence of rehabilitation submitted by the applicant, if any.
- (2) Provides the board's criteria relating to rehabilitation, formulated pursuant to Section 482, that takes into account the age and severity of the offense, and the evidence relating to participation in treatment or other rehabilitation programs.
- (3) If the board's decision was based on the applicant's prior criminal conviction, justifies the board's denial of a license and conveys the reasons why the prior criminal conviction is substantially related to the qualifications, functions, or duties of a licensed structural pest control operator.
- (d) Commencing July 1, 2009, all of the following shall apply:
- (1) If the denial of a license is due at least in part to the applicant's state or federal criminal history record, the board shall, in addition to the information provided pursuant to paragraph (3) of subdivision (c), provide to the applicant a copy of his or her criminal history record at an address specified by the candidate.
- (A) The state or federal criminal history record shall not be modified or altered from its form or content as provided by the Department of Justice.
- (B) The criminal history record shall be provided in such a manner as to protect the confidentiality and privacy of the applicant's criminal history record and the criminal history record shall not be made available by the board to any employer.
- (C) The board shall retain a copy of the applicant's written request and a copy of the response sent to the applicant, which shall include the date and the address to which the response was sent.
- (2) The board shall make that information available upon request by the Department of Justice or the Federal Bureau of Investigation.

- (e) Notwithstanding Section 487, the board shall conduct a hearing of a license denial within 90 days of receiving an applicant's request for a hearing. For all other hearing requests, the board shall determine when the hearing shall be conducted.
- SEC. 14. Section 8623.5 is added to the Business and Professions Code, to read:
- **8623.5.** (a) A person whose license or registration has been revoked, suspended, or surrendered, or who has been placed on probation, may petition the board for reinstatement or modification of the penalty, including modification or termination of probation, after not less than the following minimum periods have elapsed, beginning on the effective date of the decision ordering that disciplinary action:
- (1) At least three years for reinstatement of a license revoked or surrendered.
- (2) At least two years for modification of a condition of probation.
- (3) At least one year for early termination of a probation of less than three years.
- (4) At least two years for early termination of a probation of three years or more.
- (b) The board may require an examination for reinstatement of a license revoked or surrendered.
- SEC. 15. Section 8663 of the Business and Professions Code is amended to read:
- 8663. (a) This section only applies to work conducted under a Branch 1 license.
- (b) A copy of a notice of violation issued for any violation committed by a subcontractor shall be sent to the prime contractor responsible for the work by the issuing authority within 30 days from the date the violation was committed or discovered. In circumstances where the violation is classified as serious or moderate, notification shall be performed by certified mail with a return receipt requested.
- (c) The board or county agricultural commissioners, when acting pursuant to Section 8616.4, may levy a fine of up to five thousand dollars (\$5,000) against a registered company acting as a prime contractor for any serious or moderate violation committed by any licensee with whom the prime contractor has subcontracted if, before that violation occurred, the prime contractor had been notified by certified mail, return receipt requested, of two or more serious or moderate violations committed by that subcontractor within 12 consecutive months.

Fines collected pursuant to this section shall be paid to the Education and Enforcement Account in the Structural Pest Control Education and Enforcement Fund.

- (d) For purposes of this section, "serious or moderate" violation includes, but is not limited to, a violation of any of the following provisions of this code or of the California Code of Regulations that poses a serious hazard to humans:
- (1) A violation of subdivision (a) or (b) of Section 1970.4 of Title 16 of, or Section 6454 of Title 3 of, the California Code of Regulations, or a violation of Section 8505.5.
- (2) Any violation of the structural pest control law that results in a serious injury to any person.
- (3) A violation of Section 8505.2 or 8505.3, relating to direct and personal supervision.
- (4) A violation of Section 8505.7, relating to vacating and securing structures.
- (5) A violation of Section 6780 of Title 3 of the California Code of Regulations.
- (6) A violation of Section 6454 of Title 3 of the California Code of Regulations.
- (7) A violation of Section 8505.12, relating to warning agents.
- (8) A violation of Section 8505.9 or 8505.10, relating to warning signs.
- (e) Notwithstanding subdivision (c), a prime contractor may be fined for a subcontractor's first violation for failing to have a signed Occupants Fumigation Notice, pursuant to Section 1970.4 of Title 16 of the California Code of Regulations, on the premises being treated, or for failure to provide advance notice of a fumigation pursuant to Section 8538 to the occupants of the premises being treated.
- SEC. 16. Section 8674 of the Business and Professions Code is amended to read:

- **8674.** The fees prescribed by this chapter are the following:
- (a) A duplicate license fee of not more than two dollars (\$2).
- (b) A fee for filing a change of name of a licensee of not more than two dollars (\$2).
- (c) An operator's examination fee of not more than one hundred dollars (\$100).
- (d) An operator's license fee of not more than one hundred fifty dollars (\$150).
- (e) An operator's license renewal fee of not more than one hundred fifty dollars (\$150).
- (f) A company registration fee of not more than one hundred twenty dollars (\$120).
- (g) A branch office registration fee of not more than sixty dollars (\$60).
- (h) A field representative's examination fee of not more than seventy-five dollars (\$75).
- (i) A field representative's license fee of not more than forty-five dollars (\$45).
- (j) A field representative's license renewal fee of not more than forty-five dollars (\$45).
- (k) An applicator's examination fee of not more than sixty dollars (\$60).
- (I) An applicator's license fee of not more than fifty dollars (\$50).
- (m) An applicator's license renewal fee of not more than fifty dollars (\$50).
- (n) An activity form fee, per property address, of not more than five dollars (\$5).
- (o) A fee for certifying a copy of an activity form of not more than three dollars (\$3).
- (p) A fee for filing a change of a registered company's name, principal office address, or branch office address, qualifying manager, or the names of a registered company's officers, or bond or insurance of not more than twenty-five dollars (\$25) for each change.
- (q) A fee for approval of continuing education providers of not more than fifty dollars (\$50).
- (r) A pesticide use report filing fee of not more than five dollars (\$5) for each pesticide use report or combination of use reports representing a registered structural pest control company's total county pesticide use for the month.
- (s) A fee for approval of continuing education courses of not more than twenty-five dollars (\$25).
- (t) (1) Any person who pays a fee pursuant to subdivision (r) shall, in addition, pay a fee of two dollars (\$2) for each pesticide use stamp or stamp number purchased from the board. Notwithstanding any other law, the fee established pursuant to this subdivision shall be deposited into the Structural Pest Control Research Fund that is hereby continued in existence and continuously appropriated to be used only for structural pest control research.
- (2) A charge for administrative expenses of the board in an amount not to exceed 5 percent of the amount collected and deposited in the Structural Pest Control Research Fund may be assessed against the fund. The charge shall be limited to expenses directly related to the administration of the fund.
- (3) The board shall, by regulation, establish a five-member research advisory panel, including, but not limited to, representatives from the Structural Pest Control Board, the structural pest control industry, the Department of Pesticide Regulation, and the University of California. The panel, or other entity designated by the board, shall solicit on behalf of the board all requests for proposals and present to the panel all proposals that meet the criteria established by the panel. The panel shall review the proposals and recommend to the board which proposals to accept. The recommendations shall be accepted upon a two-thirds vote of the board. The board shall direct the panel, or other entity designated by the board, to prepare and issue the research contracts and authorize the transfer of funds from the Structural Pest Control Research Fund to the applicants whose proposals were accepted by the board.
- (4) A charge for requests for proposals, contracts, and monitoring of contracted research shall not exceed 5 percent of the research funds available each year and shall be paid from the Structural Pest Control Research Fund.
- SEC. 17. Section 8698.3 of the Business and Professions Code is amended to read:

- **8698.3.** (a) The Director of the Department of Pesticide Regulation or a county agricultural commissioner listed in Section 8698 may levy a civil penalty against a person or company violating this chapter, including any regulation adopted pursuant to this chapter for failing to comply with Section 8698.1.
- (b) Before a civil penalty is levied, the person charged with the violation shall receive notice of the nature of the violation and shall be given an opportunity to be heard, including the right to review the director's evidence and a right to present evidence on his or her own behalf.
- (c) Review of the decision of the director may be sought by the person against whom the penalty was levied, within 30 days of receiving notice of the decision, pursuant to Section 1094.5 of the Code of Civil Procedure.
- (d) After the exhaustion of the review procedure provided in this section, the director, or his or her representative, may file a certified copy of a final decision of the director that directs the payment of a civil penalty and, if applicable, any order that denies a petition for a writ of administrative mandamus, with the clerk of the superior court of any county. Judgment shall be entered immediately by the clerk in conformity with the decision or order. No fees shall be charged by the clerk of the superior court for the performance of any official service required in connection with the entry of judgment pursuant to this section.
- **SEC. 18.** No reimbursement is required by this act pursuant to Section 6 of Article XIII B of the California Constitution because the only costs that may be incurred by a local agency or school district will be incurred because this act creates a new crime or infraction, eliminates a crime or infraction, or changes the penalty for a crime or infraction, within the meaning of Section 17556 of the Government Code, or changes the definition of a crime within the meaning of Section 6 of Article XIII B of the California Constitution.



Bill Information

California Law

**Publications** 

Other Resources

My Subscriptions

My Favorites

AB-2138 Licensing boards: denial of application: revocation or suspension of licensure: criminal conviction. (2017-2018)

SHARE THIS:





Date Published: 10/01/2018 09:00 PM

# Assembly Bill No. 2138

### **CHAPTER 995**

An act to amend, repeal, and add Sections 7.5, 480, 481, 482, 488, 493, and 11345.2 of, and to add Section 480.2 to, the Business and Professions Code, relating to professions and vocations.

Approved by Governor September 30, 2018. Filed with Secretary of State September 30, 2018. ]

#### LEGISLATIVE COUNSEL'S DIGEST

AB 2138, Chiu. Licensing boards: denial of application: revocation or suspension of licensure: criminal conviction.

Existing law provides for the licensure and regulation of various professions and vocations by boards within the Department of Consumer Affairs. Existing law authorizes a board to deny, suspend, or revoke a license or take disciplinary action against a licensee on the grounds that the applicant or licensee has, among other things, been convicted of a crime, as specified. Existing law provides that a person shall not be denied a license solely on the basis that the person has been convicted of a felony if he or she has obtained a certificate of rehabilitation or that the person has been convicted of a misdemeanor if he or she has met applicable requirements of rehabilitation developed by the board, as specified. Existing law also prohibits a person from being denied a license solely on the basis of a conviction that has been dismissed, as specified. Existing law requires a board to develop criteria to aid it when considering the denial, suspension, or revocation of a license to determine whether a crime is substantially related to the qualifications, functions, or duties of the business or profession the board regulates and requires a board to develop criteria to evaluate the rehabilitation of a person when considering the denial, suspension, or revocation of a license.

This bill would revise and recast those provisions to instead authorize a board to, among other things, deny, revoke, or suspend a license on the grounds that the applicant or licensee has been subject to formal discipline, as specified, or convicted of a crime only if the applicant or licensee has been convicted of a crime within the preceding 7 years from the date of application that is substantially related to the qualifications, functions, or duties of the business or profession for which the application is made, regardless of whether the applicant was incarcerated for that crime, or if the applicant has been convicted of a crime that is substantially related to the qualifications, functions, or duties of the business or profession for which the application is made and for which the applicant is presently incarcerated or for which the applicant was released from incarceration within the preceding 7 years, except as specified. The bill would prohibit a board from denying a person a license based on the conviction of a crime, or on the basis of acts underlying a conviction, as defined, for a crime, if the conviction has been dismissed or expunged, if the person has provided evidence of rehabilitation, if the person has been granted clemency or a pardon, or if an arrest resulted in a disposition other than a conviction.

The bill would require the board to develop criteria for determining whether a crime is substantially related to the qualifications, functions, or duties of the business or profession. The bill would require a board to consider whether a person has made a showing of rehabilitation if certain conditions are met. The bill would require a board to follow certain procedures when requesting or acting on an applicant's or licensee's criminal history information. The bill would also require a board to annually submit a report to the Legislature and post the report on its Internet Web site containing specified deidentified information regarding actions taken by a board based on an applicant or licensee's criminal history information.

Existing law authorizes a board to deny a license on the grounds that an applicant knowingly made a false statement of fact that is required to be revealed in the application for licensure.

This bill would prohibit a board from denying a license based solely on an applicant's failure to disclose a fact that would not have been cause for denial of the license had the fact been disclosed.

Existing law authorizes a board, after a specified hearing requested by an applicant for licensure to take various actions in relation to denying or granting the applicant the license.

This bill would revise and recast those provisions to eliminate some of the more specific options that the board may take in these circumstances.

This bill would clarify that the existing above-described provisions continue to apply to the State Athletic Commission, the Bureau for Private Postsecondary Education, and the California Horse Racing Board.

This bill would also make necessary conforming changes.

This bill would make these provisions operative on July 1, 2020.

Vote: majority Appropriation: no Fiscal Committee: yes Local Program: no

#### THE PEOPLE OF THE STATE OF CALIFORNIA DO ENACT AS FOLLOWS:

**SECTION 1.** Section 7.5 of the Business and Professions Code is amended to read:

**7.5.** (a) A conviction within the meaning of this code means a plea or verdict of guilty or a conviction following a plea of nolo contendere. Any action which a board is permitted to take following the establishment of a conviction may be taken when the time for appeal has elapsed, or the judgment of conviction has been affirmed on appeal or when an order granting probation is made suspending the imposition of sentence, irrespective of a subsequent order under the provisions of Section 1203.4 of the Penal Code. However, a board may not deny a license to an applicant who is otherwise qualified pursuant to subdivision (b) of Section 480.

Nothing in this section shall apply to the licensure of persons pursuant to Chapter 4 (commencing with Section 6000) of Division 3.

- (b) This section shall become inoperative on July 1, 2020, and, as of January 1, 2021, is repealed.
- **SEC. 2.** Section 7.5 is added to the Business and Professions Code, to read:
- **7.5.** (a) A conviction within the meaning of this code means a judgment following a plea or verdict of guilty or a plea of nolo contendere or finding of guilt. Any action which a board is permitted to take following the establishment of a conviction may be taken when the time for appeal has elapsed, or the judgment of conviction has been affirmed on appeal or when an order granting probation is made suspending the imposition of sentence. However, a board may not deny a license to an applicant who is otherwise qualified pursuant to subdivision (b) or (c) of Section 480.
- (b) (1) Nothing in this section shall apply to the licensure of persons pursuant to Chapter 4 (commencing with Section 6000) of Division 3.
- (2) This section does not in any way modify or otherwise affect the existing authority of the following entities in regard to licensure:
- (A) The State Athletic Commission.
- (B) The Bureau for Private Postsecondary Education.
- (C) The California Horse Racing Board.
- (c) Except as provided in subdivision (b), this section controls over and supersedes the definition of conviction contained within individual practice acts under this code.
- (d) This section shall become operative on July 1, 2020.
- **SEC. 3.** Section 480 of the Business and Professions Code is amended to read:

- **480.** (a) A board may deny a license regulated by this code on the grounds that the applicant has one of the following:
- (1) Been convicted of a crime. A conviction within the meaning of this section means a plea or verdict of guilty or a conviction following a plea of nolo contendere. Any action that a board is permitted to take following the establishment of a conviction may be taken when the time for appeal has elapsed, or the judgment of conviction has been affirmed on appeal, or when an order granting probation is made suspending the imposition of sentence, irrespective of a subsequent order under the provisions of Section 1203.4, 1203.4a, or 1203.41 of the Penal Code.
- (2) Done any act involving dishonesty, fraud, or deceit with the intent to substantially benefit himself or herself or another, or substantially injure another.
- (3) (A) Done any act that if done by a licentiate of the business or profession in question, would be grounds for suspension or revocation of license.
- (B) The board may deny a license pursuant to this subdivision only if the crime or act is substantially related to the qualifications, functions, or duties of the business or profession for which application is made.
- (b) Notwithstanding any other provision of this code, a person shall not be denied a license solely on the basis that he or she has been convicted of a felony if he or she has obtained a certificate of rehabilitation under Chapter 3.5 (commencing with Section 4852.01) of Title 6 of Part 3 of the Penal Code or that he or she has been convicted of a misdemeanor if he or she has met all applicable requirements of the criteria of rehabilitation developed by the board to evaluate the rehabilitation of a person when considering the denial of a license under subdivision (a) of Section 482.
- (c) Notwithstanding any other provisions of this code, a person shall not be denied a license solely on the basis of a conviction that has been dismissed pursuant to Section 1203.4, 1203.4a, or 1203.41 of the Penal Code. An applicant who has a conviction that has been dismissed pursuant to Section 1203.4, 1203.4a, or 1203.41 of the Penal Code shall provide proof of the dismissal.
- (d) A board may deny a license regulated by this code on the ground that the applicant knowingly made a false statement of fact that is required to be revealed in the application for the license.
- (e) This section shall become inoperative on July 1, 2020, and, as of January 1, 2021, is repealed.
- SEC. 4. Section 480 is added to the Business and Professions Code, to read:
- **480.** (a) Notwithstanding any other provision of this code, a board may deny a license regulated by this code on the grounds that the applicant has been convicted of a crime or has been subject to formal discipline only if either of the following conditions are met:
- (1) The applicant has been convicted of a crime within the preceding seven years from the date of application that is substantially related to the qualifications, functions, or duties of the business or profession for which the application is made, regardless of whether the applicant was incarcerated for that crime, or the applicant has been convicted of a crime that is substantially related to the qualifications, functions, or duties of the business or profession for which the application is made and for which the applicant is presently incarcerated or for which the applicant was released from incarceration within the preceding seven years from the date of application. However, the preceding seven-year limitation shall not apply in either of the following situations:
- (A) The applicant was convicted of a serious felony, as defined in Section 1192.7 of the Penal Code or a crime for which registration is required pursuant to paragraph (2) or (3) of subdivision (d) of Section 290 of the Penal Code.
- (B) The applicant was convicted of a financial crime currently classified as a felony that is directly and adversely related to the fiduciary qualifications, functions, or duties of the business or profession for which the application is made, pursuant to regulations adopted by the board, and for which the applicant is seeking licensure under any of the following:
- (i) Chapter 1 (commencing with Section 5000) of Division 3.
- (ii) Chapter 6 (commencing with Section 6500) of Division 3.
- (iii) Chapter 9 (commencing with Section 7000) of Division 3.
- (iv) Chapter 11.3 (commencing with Section 7512) of Division 3.

- (v) Licensure as a funeral director or cemetery manager under Chapter 12 (commencing with Section 7600) of Division 3.
- (vi) Division 4 (commencing with Section 10000).
- (2) The applicant has been subjected to formal discipline by a licensing board in or outside California within the preceding seven years from the date of application based on professional misconduct that would have been cause for discipline before the board for which the present application is made and that is substantially related to the qualifications, functions, or duties of the business or profession for which the present application is made. However, prior disciplinary action by a licensing board within the preceding seven years shall not be the basis for denial of a license if the basis for that disciplinary action was a conviction that has been dismissed pursuant to Section 1203.4, 1203.4a, 1203.41, or 1203.42 of the Penal Code or a comparable dismissal or expungement.
- (b) Notwithstanding any other provision of this code, a person shall not be denied a license on the basis that he or she has been convicted of a crime, or on the basis of acts underlying a conviction for a crime, if he or she has obtained a certificate of rehabilitation under Chapter 3.5 (commencing with Section 4852.01) of Title 6 of Part 3 of the Penal Code, has been granted clemency or a pardon by a state or federal executive, or has made a showing of rehabilitation pursuant to Section 482.
- (c) Notwithstanding any other provision of this code, a person shall not be denied a license on the basis of any conviction, or on the basis of the acts underlying the conviction, that has been dismissed pursuant to Section 1203.4, 1203.4a, 1203.41, or 1203.42 of the Penal Code, or a comparable dismissal or expungement. An applicant who has a conviction that has been dismissed pursuant to Section 1203.4, 1203.4a, 1203.41, or 1203.42 of the Penal Code shall provide proof of the dismissal if it is not reflected on the report furnished by the Department of Justice.
- (d) Notwithstanding any other provision of this code, a board shall not deny a license on the basis of an arrest that resulted in a disposition other than a conviction, including an arrest that resulted in an infraction, citation, or a juvenile adjudication.
- (e) A board may deny a license regulated by this code on the ground that the applicant knowingly made a false statement of fact that is required to be revealed in the application for the license. A board shall not deny a license based solely on an applicant's failure to disclose a fact that would not have been cause for denial of the license had it been disclosed.
- (f) A board shall follow the following procedures in requesting or acting on an applicant's criminal history information:
- (1) A board issuing a license pursuant to Chapter 3 (commencing with Section 5500), Chapter 3.5 (commencing with Section 5615), Chapter 10 (commencing with Section 7301), Chapter 20 (commencing with Section 9800), or Chapter 20.3 (commencing with Section 9880), of Division 3, or Chapter 3 (commencing with Section 19000) or Chapter 3.1 (commencing with Section 19225) of Division 8 may require applicants for licensure under those chapters to disclose criminal conviction history on an application for licensure.
- (2) Except as provided in paragraph (1), a board shall not require an applicant for licensure to disclose any information or documentation regarding the applicant's criminal history. However, a board may request mitigating information from an applicant regarding the applicant's criminal history for purposes of determining substantial relation or demonstrating evidence of rehabilitation, provided that the applicant is informed that disclosure is voluntary and that the applicant's decision not to disclose any information shall not be a factor in a board's decision to grant or deny an application for licensure.
- (3) If a board decides to deny an application for licensure based solely or in part on the applicant's conviction history, the board shall notify the applicant in writing of all of the following:
- (A) The denial or disqualification of licensure.
- (B) Any existing procedure the board has for the applicant to challenge the decision or to request reconsideration.
- (C) That the applicant has the right to appeal the board's decision.
- (D) The processes for the applicant to request a copy of his or her complete conviction history and question the accuracy or completeness of the record pursuant to Sections 11122 to 11127 of the Penal Code.
- (g) (1) For a minimum of three years, each board under this code shall retain application forms and other documents submitted by an applicant, any notice provided to an applicant, all other communications received from and provided to an applicant, and criminal history reports of an applicant.

- (2) Each board under this code shall retain the number of applications received for each license and the number of applications requiring inquiries regarding criminal history. In addition, each licensing authority shall retain all of the following information:
- (A) The number of applicants with a criminal record who received notice of denial or disqualification of licensure.
- (B) The number of applicants with a criminal record who provided evidence of mitigation or rehabilitation.
- (C) The number of applicants with a criminal record who appealed any denial or disqualification of licensure.
- (D) The final disposition and demographic information, consisting of voluntarily provided information on race or gender, of any applicant described in subparagraph (A), (B), or (C).
- (3) (A) Each board under this code shall annually make available to the public through the board's Internet Web site and through a report submitted to the appropriate policy committees of the Legislature deidentified information collected pursuant to this subdivision. Each board shall ensure confidentiality of the individual applicants.
- (B) A report pursuant to subparagraph (A) shall be submitted in compliance with Section 9795 of the Government Code.
- (h) "Conviction" as used in this section shall have the same meaning as defined in Section 7.5.
- (i) This section does not in any way modify or otherwise affect the existing authority of the following entities in regard to licensure:
- (1) The State Athletic Commission.
- (2) The Bureau for Private Postsecondary Education.
- (3) The California Horse Racing Board.
- (j) This section shall become operative on July 1, 2020.
- SEC. 5. Section 480.2 is added to the Business and Professions Code, to read:
- **480.2.** (a) The Bureau for Private Postsecondary Education, the State Athletic Commission, and the California Horse Racing Board may deny a license regulated by it on the grounds that the applicant has one of the following:
- (1) Been convicted of a crime.
- (2) Done any act involving dishonesty, fraud, or deceit with the intent to substantially benefit himself or herself or another, or substantially injure another.
- (3) (A) Done any act that if done by a licentiate of the business or profession in question, would be grounds for suspension or revocation of license.
- (B) The Bureau for Private Postsecondary Education, the State Athletic Commission, and the California Horse Racing Board may deny a license pursuant to this subdivision only if the crime or act is substantially related to the qualifications, functions, or duties of the business or profession for which application is made.
- (b) Notwithstanding any other provision of this code, a person shall not be denied a license solely on the basis that he or she has been convicted of a felony if he or she has obtained a certificate of rehabilitation under Chapter 3.5 (commencing with Section 4852.01) of Title 6 of Part 3 of the Penal Code or that he or she has been convicted of a misdemeanor if he or she has met all applicable requirements of the criteria of rehabilitation developed by the Bureau for Private Postsecondary Education, the State Athletic Commission, and the California Horse Racing Board to evaluate the rehabilitation of a person when considering the denial of a license under paragraph (1) of subdivision (f).
- (c) Notwithstanding any other provisions of this code, a person shall not be denied a license by the Bureau for Private Postsecondary Education, the State Athletic Commission, or the California Horse Racing Board solely on the basis of a conviction that has been dismissed pursuant to Section 1203.4, 1203.4a, or 1203.41 of the Penal Code. An applicant who has a conviction that has been dismissed pursuant to Section 1203.4, 1203.4a, or 1203.41 of the Penal Code shall provide proof of the dismissal.

- (d) The Bureau for Private Postsecondary Education, the State Athletic Commission, and the California Horse Racing Board may deny a license regulated by it on the ground that the applicant knowingly made a false statement of fact that is required to be revealed in the application for the license.
- (e) The Bureau for Private Postsecondary Education, the State Athletic Commission, and the California Horse Racing Board shall develop criteria to aid it, when considering the denial, suspension or revocation of a license, to determine whether a crime or act is substantially related to the qualifications, functions, or duties of the business or profession it regulates.
- (f) (1) The Bureau for Private Postsecondary Education, the State Athletic Commission, and the California Horse Racing Board shall develop criteria to evaluate the rehabilitation of a person either when:
- (A) Considering the denial of a license under this section.
- (B) Considering suspension or revocation of a license under Section 490.
- (2) The Bureau for Private Postsecondary Education, the State Athletic Commission, and the California Horse Racing Board shall take into account all competent evidence of rehabilitation furnished by the applicant or licensee.
- (g) Except as otherwise provided by law, following a hearing requested by an applicant pursuant to subdivision
- (b) of Section 485, the Bureau for Private Postsecondary Education, the State Athletic Commission, and the California Horse Racing Board may take any of the following actions:
- (1) Grant the license effective upon completion of all licensing requirements by the applicant.
- (2) Grant the license effective upon completion of all licensing requirements by the applicant, immediately revoke the license, stay the revocation, and impose probationary conditions on the license, which may include suspension.
- (3) Deny the license.
- (4) Take other action in relation to denying or granting the license as the Bureau for Private Postsecondary Education, the State Athletic Commission, or the California Horse Racing Board, in its discretion, may deem proper.
- (h) Notwithstanding any other law, in a proceeding conducted by the Bureau for Private Postsecondary Education, the State Athletic Commission, or the California Horse Racing Board to deny an application for a license or to suspend or revoke a license or otherwise take disciplinary action against a person who holds a license, upon the ground that the applicant or the licensee has been convicted of a crime substantially related to the qualifications, functions, and duties of the licensee in question, the record of conviction of the crime shall be conclusive evidence of the fact that the conviction occurred, but only of that fact, and the Bureau for Private Postsecondary Education, the State Athletic Commission, and the California Horse Racing Board may inquire into the circumstances surrounding the commission of the crime in order to fix the degree of discipline or to determine if the conviction is substantially related to the qualifications, functions, and duties of the licensee in question.
- (i) Notwithstanding Section 7.5, a conviction within the meaning of this section means a plea or verdict of guilty or a conviction following a plea of nolo contendere. Any action that the Bureau for Private Postsecondary Education, the State Athletic Commission, or the California Horse Racing Board is permitted to take following the establishment of a conviction may be taken when the time for appeal has elapsed, the judgment of conviction has been affirmed on appeal, or when an order granting probation is made suspending the imposition of sentence, irrespective of a subsequent order under the provisions of Section 1203.4, 1203.4a, or 1203.41 of the Penal Code.
- (j) This section shall become operative on July 1, 2020.
- SEC. 6. Section 481 of the Business and Professions Code is amended to read:
- **481.** (a) Each board under the provisions of this code shall develop criteria to aid it, when considering the denial, suspension or revocation of a license, to determine whether a crime or act is substantially related to the qualifications, functions, or duties of the business or profession it regulates.
- (b) This section shall become inoperative on July 1, 2020, and, as of January 1, 2021, is repealed.
- **SEC. 7.** Section 481 is added to the Business and Professions Code, to read:

- **481.** (a) Each board under this code shall develop criteria to aid it, when considering the denial, suspension, or revocation of a license, to determine whether a crime is substantially related to the qualifications, functions, or duties of the business or profession it regulates.
- (b) Criteria for determining whether a crime is substantially related to the qualifications, functions, or duties of the business or profession a board regulates shall include all of the following:
- (1) The nature and gravity of the offense.
- (2) The number of years elapsed since the date of the offense.
- (3) The nature and duties of the profession in which the applicant seeks licensure or in which the licensee is licensed.
- (c) A board shall not deny a license based in whole or in part on a conviction without considering evidence of rehabilitation submitted by an applicant pursuant to any process established in the practice act or regulations of the particular board and as directed by Section 482.
- (d) Each board shall post on its Internet Web site a summary of the criteria used to consider whether a crime is considered to be substantially related to the qualifications, functions, or duties of the business or profession it regulates consistent with this section.
- (e) This section does not in any way modify or otherwise affect the existing authority of the following entities in regard to licensure:
- (1) The State Athletic Commission.
- (2) The Bureau for Private Postsecondary Education.
- (3) The California Horse Racing Board.
- (f) This section shall become operative on July 1, 2020.
- **SEC. 8.** Section 482 of the Business and Professions Code is amended to read:
- **482.** (a) Each board under the provisions of this code shall develop criteria to evaluate the rehabilitation of a person when:
- (1) Considering the denial of a license by the board under Section 480; or
- (2) Considering suspension or revocation of a license under Section 490.
- (b) Each board shall take into account all competent evidence of rehabilitation furnished by the applicant or licensee.
- (c) This section shall become inoperative on July 1, 2020, and, as of January 1, 2021, is repealed.
- **SEC. 9.** Section 482 is added to the Business and Professions Code, to read:
- **482.** (a) Each board under this code shall develop criteria to evaluate the rehabilitation of a person when doing either of the following:
- (1) Considering the denial of a license by the board under Section 480.
- (2) Considering suspension or revocation of a license under Section 490.
- (b) Each board shall consider whether an applicant or licensee has made a showing of rehabilitation if either of the following are met:
- (1) The applicant or licensee has completed the criminal sentence at issue without a violation of parole or probation.
- (2) The board, applying its criteria for rehabilitation, finds that the applicant is rehabilitated.
- (c) This section does not in any way modify or otherwise affect the existing authority of the following entities in regard to licensure:
- (1) The State Athletic Commission.

- (2) The Bureau for Private Postsecondary Education.
- (3) The California Horse Racing Board.
- (d) This section shall become operative on July 1, 2020.
- SEC. 10. Section 488 of the Business and Professions Code is amended to read:
- **488.** (a) Except as otherwise provided by law, following a hearing requested by an applicant pursuant to subdivision (b) of Section 485, the board may take any of the following actions:
- (1) Grant the license effective upon completion of all licensing requirements by the applicant.
- (2) Grant the license effective upon completion of all licensing requirements by the applicant, immediately revoke the license, stay the revocation, and impose probationary conditions on the license, which may include suspension.
- (3) Deny the license.
- (4) Take other action in relation to denying or granting the license as the board in its discretion may deem proper.
- (b) This section shall become inoperative on July 1, 2020, and, as of January 1, 2021, is repealed.
- SEC. 11. Section 488 is added to the Business and Professions Code, to read:
- **488.** (a) Except as otherwise provided by law, following a hearing requested by an applicant pursuant to subdivision (b) of Section 485, the board may take any of the following actions:
- (1) Grant the license effective upon completion of all licensing requirements by the applicant.
- (2) Grant the license effective upon completion of all licensing requirements by the applicant, immediately revoke the license, stay the revocation, and impose probationary conditions on the license, which may include suspension.
- (3) Deny the license.
- (4) Take other action in relation to denying or granting the license as the board in its discretion may deem proper.
- (b) This section does not in any way modify or otherwise affect the existing authority of the following entities in regard to licensure:
- (1) The State Athletic Commission.
- (2) The Bureau for Private Postsecondary Education.
- (3) The California Horse Racing Board.
- (c) This section shall become operative on July 1, 2020.
- SEC. 12. Section 493 of the Business and Professions Code is amended to read:
- **493.** (a) Notwithstanding any other provision of law, in a proceeding conducted by a board within the department pursuant to law to deny an application for a license or to suspend or revoke a license or otherwise take disciplinary action against a person who holds a license, upon the ground that the applicant or the licensee has been convicted of a crime substantially related to the qualifications, functions, and duties of the licensee in question, the record of conviction of the crime shall be conclusive evidence of the fact that the conviction occurred, but only of that fact, and the board may inquire into the circumstances surrounding the commission of the crime in order to fix the degree of discipline or to determine if the conviction is substantially related to the qualifications, functions, and duties of the licensee in question.
- (b) As used in this section, "license" includes "certificate," "permit," "authority," and "registration."
- (c) This section shall become inoperative on July 1, 2020, and, as of January 1, 2021, is repealed.
- **SEC. 13.** Section 493 is added to the Business and Professions Code, to read:

- **493.** (a) Notwithstanding any other law, in a proceeding conducted by a board within the department pursuant to law to deny an application for a license or to suspend or revoke a license or otherwise take disciplinary action against a person who holds a license, upon the ground that the applicant or the licensee has been convicted of a crime substantially related to the qualifications, functions, and duties of the licensee in question, the record of conviction of the crime shall be conclusive evidence of the fact that the conviction occurred, but only of that fact.
- (b) (1) Criteria for determining whether a crime is substantially related to the qualifications, functions, or duties of the business or profession the board regulates shall include all of the following:
- (A) The nature and gravity of the offense.
- (B) The number of years elapsed since the date of the offense.
- (C) The nature and duties of the profession.
- (2) A board shall not categorically bar an applicant based solely on the type of conviction without considering evidence of rehabilitation.
- (c) As used in this section, "license" includes "certificate," "permit," "authority," and "registration."
- (d) This section does not in any way modify or otherwise affect the existing authority of the following entities in regard to licensure:
- (1) The State Athletic Commission.
- (2) The Bureau for Private Postsecondary Education.
- (3) The California Horse Racing Board.
- (e) This section shall become operative on July 1, 2020.
- SEC. 14. Section 11345.2 of the Business and Professions Code is amended to read:
- 11345.2. (a) An individual shall not act as a controlling person for a registrant if any of the following apply:
- (1) The individual has entered a plea of guilty or no contest to, or been convicted of, a felony. Notwithstanding subdivision (c) of Section 480, if the individual's felony conviction has been dismissed pursuant to Section 1203.4, 1203.4a, or 1203.41 of the Penal Code, the bureau may allow the individual to act as a controlling person.
- (2) The individual has had a license or certificate to act as an appraiser or to engage in activities related to the transfer of real property refused, denied, canceled, or revoked in this state or any other state.
- (b) Any individual who acts as a controlling person of an appraisal management company and who enters a plea of guilty or no contest to, or is convicted of, a felony, or who has a license or certificate as an appraiser refused, denied, canceled, or revoked in any other state shall report that fact or cause that fact to be reported to the office, in writing, within 10 days of the date he or she has knowledge of that fact.
- (c) This section shall become inoperative on July 1, 2020, and, as of January 1, 2021, is repealed.
- **SEC. 15.** Section 11345.2 is added to the Business and Professions Code, to read:
- 11345.2. (a) An individual shall not act as a controlling person for a registrant if any of the following apply:
- (1) The individual has entered a plea of guilty or no contest to, or been convicted of, a felony. If the individual's felony conviction has been dismissed pursuant to Section 1203.4, 1203.4a, 1203.41, or 1203.42 of the Penal Code, the bureau may allow the individual to act as a controlling person.
- (2) The individual has had a license or certificate to act as an appraiser or to engage in activities related to the transfer of real property refused, denied, canceled, or revoked in this state or any other state.
- (b) Any individual who acts as a controlling person of an appraisal management company and who enters a plea of guilty or no contest to, or is convicted of, a felony, or who has a license or certificate as an appraiser refused, denied, canceled, or revoked in any other state shall report that fact or cause that fact to be reported to the office, in writing, within 10 days of the date he or she has knowledge of that fact.
- (c) This section shall become operative on July 1, 2020.



Bill Information

California Law

Publications

Other Resources

My Subscriptions

My Favorites

SB-721 Building standards: decks and balconies: inspection. (2017-2018)



Date Published: 09/17/2018 09:00 PM

Senate Bill No. 721

### **CHAPTER 445**

An act to amend Section 1954 of the Civil Code, and to add Article 2.2 (commencing with Section 17973) to Chapter 5 of Part 1.5 of Division 13 of the Health and Safety Code, relating to building standards.

[ Approved by Governor September 17, 2018. Filed with Secretary of State September 17, 2018. ]

## LEGISLATIVE COUNSEL'S DIGEST

SB 721, Hill. Building standards: decks and balconies: inspection.

Existing law provides authority for an enforcement agency to enter and inspect any buildings or premises whenever necessary to secure compliance with or prevent a violation of the building standards published in the California Building Standards Code and other rules and regulations that the enforcement agency has the power to enforce.

This bill would require an inspection of exterior elevated elements and associated waterproofing elements, as defined, including decks and balconies, for buildings with 3 or more multifamily dwelling units by a licensed architect, licensed civil or structural engineer, a building contractor holding specified licenses, or an individual certified as a building inspector or building official, as specified. The bill would require the inspections, including any necessary testing, to be completed by January 1, 2025, with certain exceptions, and would require subsequent inspections every 6 years, except as specified. The bill would require the inspection report to contain specified items and would require that a copy of the inspection report be presented to the owner of the building within 45 days of the completion of the inspection and would require copies of the reports to be maintained in the building owner's records for 2 inspection cycles, as specified. The bill would require that if the inspection reveals conditions that pose an immediate hazard to the safety of the occupants, the inspection report be delivered to the owner of the building within 15 days and emergency repairs be undertaken, as specified, with notice given to the local enforcement agency. The nonemergency repairs made under these provisions would be required to be completed within 120 days, unless an extension is granted by the local authorities. The bill would authorize local enforcement agencies to recover enforcement costs associated with these requirements. The bill would require the local enforcement agency to send a 30-day corrective notice to the owner of the building if repairs are not completed on time and would provide for specified civil penalties and liens against the property for the owner of the building who fails to comply with these provisions. The bill would exclude a common interest development, as defined, from these provisions. The bill would require any building subject to these provisions that is proposed for conversion to condominiums to be sold to the public after January 1, 2019, to have the required inspection conducted prior to the first close of escrow of a separate interest in the project, and would require the inspection report and written confirmation by the inspector that any recommended repairs or replacements have been completed to be submitted to, among others, the Department of Real Estate and included in certain required statements and reports, as specified. The bill would authorize a local governing entity to enact stricter requirements than those imposed by these provisions.

Existing law authorizes a landlord to enter the dwelling only in certain situations, including to make necessary repairs.

This bill would additionally authorize a landlord to enter the dwelling unit to comply with the above-described requirements.

Because this bill would impose new duties upon local enforcement authorities, it would impose a state-mandated local program.

The California Constitution requires the state to reimburse local agencies and school districts for certain costs mandated by the state. Statutory provisions establish procedures for making that reimbursement.

This bill would provide that no reimbursement is required by this act for a specified reason.

Vote: majority Appropriation: no Fiscal Committee: yes Local Program: yes

### THE PEOPLE OF THE STATE OF CALIFORNIA DO ENACT AS FOLLOWS:

SECTION 1. Section 1954 of the Civil Code is amended to read:

1954. (a) A landlord may enter the dwelling unit only in the following cases:

- (1) In case of emergency.
- (2) To make necessary or agreed repairs, decorations, alterations or improvements, supply necessary or agreed services, or exhibit the dwelling unit to prospective or actual purchasers, mortgagees, tenants, workers, or contractors or to make an inspection pursuant to subdivision (f) of Section 1950.5.
- (3) When the tenant has abandoned or surrendered the premises.
- (4) Pursuant to court order.
- (5) For the purposes set forth in Chapter 2.5 (commencing with Section 1954.201).
- (6) To comply with the provisions of Article 2.2 (commencing with Section 17973) of Chapter 5 of Part 1.5 of Division 13 of the Health and Safety Code.
- (b) Except in cases of emergency or when the tenant has abandoned or surrendered the premises, entry may not be made during other than normal business hours unless the tenant consents to an entry during other than normal business hours at the time of entry.
- (c) The landlord may not abuse the right of access or use it to harass the tenant.
- (d) (1) Except as provided in subdivision (e), or as provided in paragraph (2) or (3), the landlord shall give the tenant reasonable notice in writing of his or her intent to enter and enter only during normal business hours. The notice shall include the date, approximate time, and purpose of the entry. The notice may be personally delivered to the tenant, left with someone of a suitable age and discretion at the premises, or, left on, near, or under the usual entry door of the premises in a manner in which a reasonable person would discover the notice. Twenty-four hours shall be presumed to be reasonable notice in absence of evidence to the contrary. The notice may be mailed to the tenant. Mailing of the notice at least six days prior to an intended entry is presumed reasonable notice in the absence of evidence to the contrary.
- (2) If the purpose of the entry is to exhibit the dwelling unit to prospective or actual purchasers, the notice may be given orally, in person or by telephone, if the landlord or his or her agent has notified the tenant in writing within 120 days of the oral notice that the property is for sale and that the landlord or agent may contact the tenant orally for the purpose described above. Twenty-four hours is presumed reasonable notice in the absence of evidence to the contrary. The notice shall include the date, approximate time, and purpose of the entry. At the time of entry, the landlord or agent shall leave written evidence of the entry inside the unit.
- (3) The tenant and the landlord may agree orally to an entry to make agreed repairs or supply agreed services. The agreement shall include the date and approximate time of the entry, which shall be within one week of the agreement. In this case, the landlord is not required to provide the tenant a written notice.
- (e) No notice of entry is required under this section:
- (1) To respond to an emergency.

- (2) If the tenant is present and consents to the entry at the time of entry.
- (3) After the tenant has abandoned or surrendered the unit.
- **SEC. 2.** Article 2.2 (commencing with Section 17973) is added to Chapter 5 of Part 1.5 of Division 13 of the Health and Safety Code, to read:

#### Article 2.2. Exterior Elevated Elements: Inspections.

- 17973. (a) Exterior elevated elements that include load-bearing components in all buildings containing three or more multifamily dwelling units shall be inspected. The inspection shall be performed by a licensed architect; licensed civil or structural engineer; a building contractor holding any or all of the "A," "B," or "C-5" license classifications issued by the Contractors' State License Board, with a minimum of five years' experience, as a holder of the aforementioned classifications or licenses, in constructing multistory wood frame buildings; or an individual certified as a building inspector or building official from a recognized state, national, or international association, as determined by the local jurisdiction. These individuals shall not be employed by the local jurisdiction while performing these inspections. The purpose of the inspection is to determine that exterior elevated elements and their associated waterproofing elements are in a generally safe condition, adequate working order, and free from any hazardous condition caused by fungus, deterioration, decay, or improper alteration to the extent that the life, limb, health, property, safety, or welfare of the public or the occupants is not endangered. The person or business performing the inspection shall be hired by the owner of the building.
- (b) For purposes of this section, the following terms have the following definitions:
- (1) "Associated waterproofing elements" include flashings, membranes, coatings, and sealants that protect the load-bearing components of exterior elevated elements from exposure to water and the elements.
- (2) "Exterior elevated element" means the following types of structures, including their supports and railings: balconies, decks, porches, stairways, walkways, and entry structures that extend beyond exterior walls of the building and which have a walking surface that is elevated more than six feet above ground level, are designed for human occupancy or use, and rely in whole or in substantial part on wood or wood-based products for structural support or stability of the exterior elevated element.
- (3) "Load-bearing components" are those components that extend beyond the exterior walls of the building to deliver structural loads from the exterior elevated element to the building.
- (c) The inspection required by this section shall at a minimum include:
- (1) Identification of each type of exterior elevated element that, if found to be defective, decayed, or deteriorated to the extent that it does not meet its load requirements, would, in the opinion of the inspector, constitute a threat to the health or safety of the occupants.
- (2) Assessment of the load-bearing components and associated waterproofing elements of the exterior elevated elements identified in paragraph (1) using methods allowing for evaluation of their performance by direct visual examination or comparable means of evaluating their performance. For purposes of this section, a sample of at least 15 percent of each type of exterior elevated element shall be inspected.
- (3) The evaluation and assessment shall address each of the following as of the date of the evaluation:
- (A) The current condition of the exterior elevated elements.
- (B) Expectations of future performance and projected service life.
- (C) Recommendations of any further inspection necessary.
- (4) A written report of the evaluation stamped or signed by the inspector presented to the owner of the building or the owner's designated agent within 45 days of completion of the inspection. The report shall include photographs, any test results, and narrative sufficient to establish a baseline of the condition of the components inspected that can be compared to the results of subsequent inspections. In addition to the evaluation required by this section, the report shall advise which, if any, exterior elevated element poses an immediate threat to the safety of the occupants, and whether preventing occupant access or conducting emergency repairs, including shoring, are necessary.
- (d) The inspection shall be completed by January 1, 2025, and by January 1 every six years thereafter. The inspector conducting the inspection shall produce an initial report pursuant to paragraph (4) of subdivision (c) and, if requested by the owner, a final report indicating that any required repairs have been completed. A copy of

any report that recommends immediate repairs, advises that any building assembly poses an immediate threat to the safety of the occupants, or that preventing occupant access or emergency repairs, including shoring, are necessary, shall be provided by the inspector to the owner of the building and to the local enforcement agency within 15 days of completion of the report. Subsequent inspection reports shall incorporate copies of prior inspection reports, including the locations of the exterior elevated elements inspected. Local enforcement agencies may determine whether any additional information is to be provided in the report and may require a copy of the initial or final reports, or both, be submitted to the local jurisdiction. Copies of all inspection reports shall be maintained in the building owner's permanent records for not less than two inspection cycles, and shall be disclosed and delivered to the buyer at the time of any subsequent sale of the building.

- (e) The inspection of buildings for which a building permit application has been submitted on or after January 1, 2019, shall occur no later than six years following issuance of a certificate of occupancy from the local jurisdiction and shall otherwise comply with the provisions of this section.
- (f) If the property was inspected within three years prior to January 1, 2019, by an inspector as described in subdivision (a) and a report of that inspector was issued stating that the exterior elevated elements and associated waterproofing elements are in proper working condition and do not pose a threat to the health and safety of the public, no new inspection pursuant to this section shall be required until January 1, 2025.
- (g) An exterior elevated element found by the inspector that is in need of repair or replacement shall be corrected by the owner of the building. No recommended repair shall be performed by a licensed contractor serving as the inspector. All necessary permits for repair or replacement shall be obtained from the local jurisdiction. All repair and replacement work shall be performed by a qualified and licensed contractor in compliance with all of the following:
- (1) The recommendations of a licensed professional described in subdivision (a).
- (2) Any applicable manufacturer's specifications.
- (3) The California Building Standards Code, consistent with subdivision (d) of Section 17922 of the Health and Safety Code.
- (4) All local jurisdictional requirements.
- (h) (1) An exterior elevated element that the inspector advises poses an immediate threat to the safety of the occupants, or finds preventing occupant access or emergency repairs, including shoring, or both, are necessary, shall be considered an emergency condition and the owner of the building shall perform required preventive measures immediately. Immediately preventing occupant access to the exterior elevated element until emergency repairs can be completed constitutes compliance with this paragraph. Repairs of emergency conditions shall comply with the requirements of subdivision (g), be inspected by the inspector, and reported to the local enforcement agency.
- (2) The owner of the building requiring corrective work to an exterior elevated element that, in the opinion of the inspector, does not pose an immediate threat to the safety of the occupants, shall apply for a permit within 120 days of receipt of the inspection report. Once the permit is approved, the owner of the building shall have 120 days to make the repairs unless an extension of time is granted by the local enforcement agency.
- (i) (1) The owner of the building shall be responsible for complying with the requirements of this section.
- (2) If the owner of the building does not comply with the repair requirements within 180 days, the inspector shall notify the local enforcement agency and the owner of the building. If within 30 days of the date of the notice the repairs are not completed, the owner of the building shall be assessed a civil penalty based on the fee schedule set by the local authority of not less than one hundred dollars (\$100) nor more than five hundred dollars (\$500) per day until the repairs are completed, unless an extension of time is granted by the local enforcement agency.
- (3) In the event that a civil penalty is assessed pursuant to this section, a building safety lien may be recorded in the county recorder's office by the local jurisdiction in the county in which the parcel of land is located and from the date of recording shall have the force, effect, and priority of a judgment lien.
- (j) (1) A building safety lien authorized by this section shall specify the amount of the lien, the name of the agency on whose behalf the lien is imposed, the street address, the legal description and assessor's parcel number of the parcel on which the lien is imposed, and the name and address of the recorded owner of the building.

- (2) In the event that the lien is discharged, released, or satisfied, either through payment or foreclosure, notice of the discharge containing the information specified in paragraph (1) shall be recorded by the governmental agency. A safety lien and the release of the lien shall be indexed in the grantor-grantee index.
- (3) A building safety lien may be foreclosed by an action brought by the appropriate local jurisdiction for a money judgment.
- (4) Notwithstanding any other law, the county recorder may impose a fee on the city to reimburse the costs of processing and recording the lien and providing notice to the owner of the building. A city may recover from the owner of the building any costs incurred regarding the processing and recording of the lien and providing notice to the owner of the building as part of its foreclosure action to enforce the lien.
- (k) The continued and ongoing maintenance of exterior elevated elements in a safe and functional condition in compliance with these provisions shall be the responsibility of the owner of the building.
- (I) Local enforcement agencies shall have the ability to recover enforcement costs associated with the requirements of this section.
- (m) For any building subject to the provisions of this section that is proposed for conversion to condominiums to be sold to the public after January 1, 2019, the inspection required by this section shall be conducted prior to the first close of escrow of a separate interest in the project and shall include the inspector's recommendations for repair or replacement of any exterior elevated element found to be defective, decayed, or deteriorated to the extent that it does not meet its load requirements, and would, in the opinion of the inspector, constitute a threat to the health or safety of the occupants. The inspection report and written confirmation by the inspector that any repairs or replacements recommended by the inspector have been completed shall be submitted to the Department of Real Estate by the proponent of the conversion and shall be a condition to the issuance of the final public report. A complete copy of the inspection report and written confirmation by the inspector that any repairs or replacements recommended by the inspector have been completed shall be included with the written statement of defects required by Section 1134 of the Civil Code, and provided to the local jurisdiction in which the project is located. The inspection, report, and confirmation of completed repairs shall be a condition of the issuance of a final inspection or certificate of occupancy by the local jurisdiction.
- (n) This section shall not apply to a common interest development, as defined in Section 4100 of the Civil Code.
- (o) The governing body of any city, county, or city and county, may enact ordinances or laws imposing requirements greater than those imposed by this section.
- **SEC. 3.** No reimbursement is required by this act pursuant to Section 6 of Article XIIIB of the California Constitution because a local agency or school district has the authority to levy service charges, fees, or assessments sufficient to pay for the program or level of service mandated by this act, within the meaning of Section 17556 of the Government Code.



**Bill Information** 

California Law

**Publications** 

Other Resources

My Subscriptions

My Favorites

SB-984 State boards and commissions: representation: appointments. (2017-2018)



Date Published: 07/03/2018 09:00 PM

AMENDED IN ASSEMBLY JULY 03, 2018

AMENDED IN ASSEMBLY JUNE 21, 2018

AMENDED IN ASSEMBLY JUNE 19, 2018

AMENDED IN SENATE MAY 25, 2018

AMENDED IN SENATE MAY 01, 2018

CALIFORNIA LEGISLATURE - 2017-2018 REGULAR SESSION

**SENATE BILL** 

No. 984

Introduced by Senator Skinner

February 05, 2018

An act to add Section 11142 to the Government Code, relating to state government.

## LEGISLATIVE COUNSEL'S DIGEST

SB 984, as amended, Skinner. State boards and commissions: representation: appointments.

Existing law establishes various boards and commissions within state government. Under existing law, it is the policy of the State of California that the composition of these state boards and commissions broadly reflect the general public, including ethnic minorities and women. Under existing law, the Governor and other appointing authorities are responsible for nominating to these boards and commissions persons of different backgrounds, abilities, interests, and opinions.

This bill, on and after January 1, 2024, would require the composition of each appointed state board and commission to have a specified minimum number of women board members or commissioners based on the total number of board members or commissioners on that board. The bill would also require the office of the Governor to collect and release, annually, at a minimum, aggregated demographic data provided by state board and commission applicants, nominees, and appointees.

Vote: majority Appropriation: no Fiscal Committee: yes Local Program: no

THE PEOPLE OF THE STATE OF CALIFORNIA DO ENACT AS FOLLOWS:

SECTION 1. Section 11142 is added to the Government Code, to read:

- **11142.** (a) (1) Beginning on and after January 1, 2024, the composition of each appointed state board and commission shall comply with the following:
- (A) If the number of board members or commissioners is six five or more, the state board or commission shall have a minimum of 40 percent women board members or commissioners.
- (B)If the number of board members or commissioners is five, the state board or commission shall have a minimum of two women board members or commissioners.

#### <del>(C)</del>

- (B) If the number of board members or commissioners is four or fewer, the state board or commission shall have a minimum of one woman board member or commissioner.
- (2) For the purposes of this section, "woman" means an individual who self-identifies her gender as a woman, without regard to the individual's designated sex at birth.
- (b) (1) The office of the Governor shall collect and release, annually, at a minimum, and on an aggregate basis, both of the following:
- (A) Demographic data provided by all state board and commission applicants relative to ethnicity, race, gender, gender identity, and sexual orientation.
- (B) Demographic data provided by all state board and commission nominees or appointees relative to ethnicity, race, gender, gender identity, and sexual orientation.
- (2) Any demographic data disclosed or released pursuant to this subdivision shall disclose only aggregated statistical data and shall not identify any individual applicant, nominee, or appointed board member or commissioner.
- (3) Any demographic data disclosed or released pursuant to this subdivision shall also indicate the percentage of respondents who declined to respond.
- (c) The provisions of this section are severable. If any provision of this section or its application is held invalid, that invalidity shall not affect other provisions or applications that can be given effect without the invalid provision or application.

January 2019						
Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	SPCB Meeting (Claremont)	SPCB Meeting (Claremont)	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

April 2019						
Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	SPCB Meeting (Sacramento)	SPCB Meeting (Sacramento)	19	20
21	22	23	24	25	26	27
28	29	30				

July 2019						
Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	SPCB Meeting (Claremont)	SPCB Meeting (Claremont)	25	26	27
28	29	30	31			

October 2019						
Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
		1	2	3	4	5
		69	70	71	72	73
6	7	8	9	10	11	12
74	75	76	77	78	79	80
13	14	15	16	17	18	19
81	82	83	84	85	86	87
20	21	22	23	24	25	26
88	89	90	91	92	93	94
27	28	29	30	31		
95	96	97	98	99		